

Association between the Haptoglobin and Heme Oxygenase 1 Genetic Profiles and Soluble CD163 in Susceptibility to and Severity of Human Malaria

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Intravascular hemolysis is a hallmark event in the immunopathology of malaria that results in increased systemic concentrations of free hemoglobin (Hb). The oxidation of Hb by free radicals causes the release of heme, which amplifies inflammation. To circumvent the detrimental effects of free heme, hosts have developed several homeostatic mechanisms, including the enzyme haptoglobin (Hp), which scavenges cell-free Hb, the monocyte receptor CD163, which binds to Hb-Hp complexes, and heme oxygenase-1 (HO-1), which degrades intracellular free heme. We tested the association between these three main components of the host response to hemolysis and susceptibility to malaria in a Brazilian population. The genetic profiles of the *HMOX1* and *Hp* genes and the plasma levels of a serum inflammatory marker, the soluble form of the CD163 receptor (sCD163), were studied in 264 subjects, including 78 individuals with symptomatic malaria, 106 individuals with asymptomatic malaria, and 80 uninfected individuals. We found that long (GT)*n* repeats in the microsatellite polymorphism region of the *HMOX1* gene, the *Hp2* allele, and the *Hp2.2* genotype were associated with symptomatic malaria. Moreover, increased plasma concentrations of heme, Hp, HO-1, and sCD163 were associated with susceptibility to malaria. The validation of these results could support the development of targeted therapies and aid in reducing the severity of malaria.

Malaria infection has high morbidity and mortality rates worldwide. During the blood stage of malarial infection, hemoglobin (Hb) is released from red blood cells that have ruptured due to *Plasmodium* multiplication (27). This unique characteristic of the *Plasmodium* life cycle leads to increased concentrations of cell-free Hb in the circulation because of intravascular hemolysis and the possible release of the heme prosthetic group from hemoglobin (25). Free heme is highly harmful to cells and tissues, as it can induce oxidative stress, cytotoxicity and inflammation (25), and cell death (30). Patients with severe malaria may exhibit high circulating levels of free heme, which impairs regulatory responses and can cause inflammatory imbalances (1). Under homeostatic conditions, haptoglobin (Hp) can rapidly scavenge cell-free Hb by forming the stable Hb-Hp complex, which is recognized and internalized by the CD163 receptor expressed by monocytes and macrophages in the red pulp of the spleen. Once internalized, the heme is usually degraded by the antioxidant enzyme heme oxygenase-1 (HO-1) (39). A thorough understanding of the factors and pathways that control the accumulation of free heme and the determinants of the unfavorable events that are triggered by this molecule can drive the development of novel therapeutic approaches to treat malaria and other hemolytic diseases.

Haptoglobin is a tetrameric protein ($\alpha 2\beta 2$) that is characterized by α -chain heterogeneity due to an intragenic duplication that resulted in two different alleles, *Hp2* and *Hp1* (including two subvariants, *Hp1F* and *Hp1S*). The diversity in the *Hp* phenotypes causes different binding affinities for cell-free Hb (*Hp1.1* > *Hp1.2* > *Hp2.2*) and CD163 (*Hp2.2* > *Hp1.2* > *Hp1.1*) (39). Additionally, polymorphisms in the *Hp* gene have been associated with different functional capabilities and organic responses, in-

cluding alterations in immune regulation, oxidative stress, and iron delocalization within monocytes (8, 9, 31, 42–44, 54). Thus, it is necessary to consider the strategies used to study the mechanisms associated with heme regulation by HO-1, Hp, and the Hp receptor, CD163, and their contribution to the susceptibility to malaria.

The haptoglobin receptor CD163 is a member of a group of B cysteine-rich scavenger membrane receptors that is expressed on monocytes and macrophages and has been linked to inflammation. The soluble form of the CD163 receptor (sCD163) is a surrogate for its cellular expression, and sCD163 levels are elevated in many inflammatory processes (18, 29, 33, 45, 46, 50–52, 60, 66). Only one study has shown that sCD163 levels are more elevated in uncomplicated falciparum malaria than in severe malarial anemia and cerebral malaria, and all malaria patients have higher levels of sCD163 than uninfected individuals (41).

In experimental models of malaria, the induction of HO-1 is mostly associated with increased tolerance to *Plasmodium* infection (26, 53) as a result of the ability of HO-1 to control non-spe-

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cific tissue damage and immunopathology by reducing inflammation. However, a few studies have linked *HMOX1* (*Homo sapiens*; P09601) gene polymorphisms to malaria susceptibility in humans (40, 59, 63). Notably, a (GT)*n* dinucleotide length polymorphism has been associated with varied expression of HO-1 (24). It has been suggested that there is a higher expression of HO-1 mRNA in patients with short (GT)*n* repeats in the *HMOX1* gene than in patients with long (GT)*n* repeats (24). Although a recent study showed an association between short (GT)*n* dinucleotide length and cerebral malaria (63), other investigations have not found any correlation between (GT)*n* dinucleotide length and malaria susceptibility (40). Therefore, there is no definitive evidence that links the length of the (GT)*n* dinucleotide repeats in the *HMOX1* gene with the severity of human malaria.

The main goal of this study was to investigate the Hp, HO-1, and sCD163 pathways that are involved in heme metabolism during malaria-induced intravascular hemolysis by analyzing genotypes and protein plasma levels in patients from the Brazilian Amazon. We tested whether different *Hp* genotypes and *HMOX1* microsatellite polymorphisms are associated with susceptibility to malaria. We have demonstrated that individuals presenting with symptomatic malaria more often have the *Hp2.2* genotype, which has previously been associated with a lower Hp binding affinity for cell-free Hb (39). In addition, we show that long (GT)*n* dinucleotide repeats in the *HMOX1* gene are associated with decreased concentrations of plasma HO-1, elevated disease susceptibility, and increased plasma levels of Hp and sCD163 in malaria patients. Furthermore, we address the association between sCD163 and malaria symptomatology. Thus, our findings expand to humans the current concept from experimental models that the susceptibility to malaria is indeed closely linked to specific determinants involved in heme metabolism.

MATERIALS AND METHODS

Ethics. This study is part of a project that was previously approved by the Ethical Committee of the São Lucas University, Rondônia, Brazil. All of the participants or their legal guardians provided informed consent before entering the study. The clinical investigations were conducted in accordance with the principles expressed in the 1975 Declaration of Helsinki, as revised in 2000.

Subjects. This study was a retrospective analysis of 264 subjects from the localities of Demarcação (8°10′04.12″S, 62°46′52.33″W) and Buritis (10°12′43″S, 63°49′44″W) in Rondônia State in the Brazilian Amazon. The subjects were studied between 2006 and 2007. The study sample includes 78 subjects with symptomatic malaria, 106 subjects with asymptomatic malaria, and 80 uninfected individuals. These individuals have already been analyzed by our group in other studies (1, 3–7). Active and passive case detections were performed using both microscopy and nested PCR. The symptomatic individuals promptly received antimalarial treatment, and those with asymptomatic infection at the time of enrollment in the study were followed for up to 30 days and subsequently classified as suffering from either symptomatic or asymptomatic malaria.

Genetic experiments. DNA was extracted from 200 μl of peripheral blood using a standard Qiagen DNA blood minikit (Valencia, CA) according to the manufacturer's protocol. The *Hp* genotypes were determined by allele-specific PCR as described by Yano et al. (70). The identification of the *Hp1F*, *Hp1S*, and *Hp2* alleles was based on the analysis of products from three independent PCRs. The PCR products were analyzed by electrophoresing them on 1% agarose gels under non-denaturing conditions. The products were then detected by staining with ethidium bromide and visualized under a UV light.

The 5′-flanking region of the *HMOX1* gene, which contains (GT)*n* repeats, was amplified with the forward primer 5′-AGAGCCTGCAGCTTCTCAGA-3′ and the reverse primer 5′-ACAAAGTCTGGCCATAGGAC-3′ according to the published procedure (37). The PCR products were sequenced on an ABI Prism 3100 automated DNA sequencer using a BigDye 03 Terminator sequencing standards kit (Applied Biosystems, Foster City, CA). The size of each *HMOX1* gene (GT)*n* repeat was calculated using GeneScan Analysis software (PE Applied Biosystems). The number of *HMOX1* (GT)*n* repeats in the DNA strands was determined, and the frequency of repeats in patients was plotted. Assuming a codominant (additive) trait model, the *HMOX1* genotypes were defined by the average length of (GT)*n* repeats. The average length of the *HMOX1* gene promoter (GT)*n* was calculated for each patient.

Plasma measurements. The plasma levels of interleukin-6 (IL-6), IL-10, and tumor necrosis factor alpha (TNFα) were measured using a cytometric bead array system (BD Biosciences Pharmingen, Franklin Lakes, NJ) according to the manufacturer's protocol. All of the samples were run in a single assay in the main laboratory at the Centro de Pesquisas Gonçalo Moniz, Bahia, Brazil. The plasma levels of HO-1 (Assay Designs, Ann Arbor, MI), Hp (GenWay Biotech, San Diego, CA), and sCD163 (BD Pharmingen, Franklin Lakes, NJ) were measured by enzyme-linked immunosorbent assay. Total heme levels were measured using a chromogenic assay according to the manufacturer's instructions (BioAssay Systems, Hayward, CA). The 413-nm and 576-nm UV-visible spectra for the plasma samples were taken with a Nanodrop apparatus to discriminate total heme from non-hemoglobin-bound heme (free heme), as previously described (68). The plasma measurements of aspartate aminotransferase (AST), alanine aminotransaminase (ALT), total bilirubin, hemoglobin, creatinine, fibrinogen, and C-reactive protein (CRP) were performed at the Federal University of Bahia and Faculdade São Lucas, Brazil.

Score-based laboratory assessment of clinical severity of malaria. To infer the degree of systemic inflammation and liver damage during malaria, we used two previously published score systems (4): the hepatic inflammatory (HI) score and the hepatic inflammatory parasitic (HIP) score. To determine the scores, the AST, ALT, fibrinogen, CRP, total bilirubin, and parasitemia levels were estimated in 580 people. This sample consisted of 183 noninfected, 195 symptomatic, and 202 asymptomatic individuals from the same area of endemicity in which the current study was performed. Receiver operator characteristic (ROC) curves were calculated for each parameter with the aim of identifying the best cutoff values to use to differentiate between those individuals with symptomatic and those with asymptomatic malaria with the highest sensitivity and specificity and the highest likelihood ratio (4). One point was given for each parameter that was above the established cutoff, with scores ranging from 0 to 6 and from 0 to 5, including or excluding parasitemia, for HIP and HI, respectively. The individuals presenting with higher scores also referred to severe headaches, fatigue and asthenia, hypotension, and hyperthermia more frequently than those with lower scores (4), implying that higher score values are generally associated with an increased clinical severity of malaria.

Statistical analyses. A chi-squared test was applied to evaluate the association between the following qualitative variables within the patient malaria groups (symptomatic, asymptomatic, and noninfected): *Hp* genotypes/alleles and short and long (<30 and ≥30 GT repeats, respectively) *HMOX1* gene polymorphisms. The plasma levels of Hp, heme, and sCD163 and the *HMOX1* gene (GT)*n* repetitions were compared between groups using a nonparametric Kruskal-Wallis test with Dunn's multiple comparisons. These tests were also used to analyze the difference between *Hp* genotypes/alleles with Hp levels and the association between sCD163, *HMOX1* GT repeat, HO-1, and Hp with the HI/HIP scores. A univariate linear regression analysis was performed to assess the associations between *Hp* alleles/genotypes or *HMOX1* gene polymorphisms and symptomatic malaria. The Mann-Whitney test was used to compare differences in plasma Hp levels, plasma sCD163 levels, and HI/HIP scores between individuals with

TABLE 1 Baseline characteristics of the individuals enrolled in the study

Characteristic	Result by subject group			P value
	Symptomatic malaria (n = 78)	Asymptomatic malaria (n = 106)	Noninfected (n = 80)	
No. (%) male	39 (50.00)	47 (44.34)	37 (46.25)	0.7468 ^a
Median (IQR ^b) age (yr)	36 (27.75–50)	42 (32–49)	35 (25.25–45)	0.0307 ^c
Median (IQR) no. of previous malaria infection episodes	6.5 (1–13)	15 (12–19)	12.5 (6.25–17)	<0.0001 ^c
No. (%) of individuals residing in the area for the following no. of yr:				0.0011 ^a
≤2	26 (33.33)	24 (22.64)	19 (23.75)	
3 to 10	17 (21.80)	7 (6.60)	19 (23.75)	
>10	35 (44.87)	75 (70.76)	42 (52.50)	

^a Categorized variables were compared using a chi-square test.

^b IQR, interquartile range.

^c Ordinal variables were compared using the Kruskal-Wallis test with Dunn's multiple-comparison test.

short or long *HMOX1* gene (GT)*n* repeats. The correlations between Hp levels with parasitemia, ALT, and heme levels were analyzed by Spearman's correlation test. This test was also used to estimate the significance of the correlation between *HMOX1* (GT)*n* repetitions and sCD163, Hp, C-reactive protein, and creatinine levels and HI and HIP scores. ROC curves were used to evaluate the power of sCD163 to discriminate the individuals with symptomatic malaria from those not infected with *Plasmodium* or those with asymptomatic malaria. Within all comparisons, the differences in which *P* was <0.05 were considered statistically significant. The statistical analyses were performed using GraphPad Prism (version 5.0b) software (GraphPad Software, San Diego, CA).

RESULTS

Baseline characteristics. The majority of the individuals studied were female (53.40%) adults (age, 39.95 years; standard deviation [SD], ±14.99) who had lived in the area of endemicity for more than 6 months (73.86% more than 3 years and 57.58% more than 10 years). The patients infected with the malaria parasite (*n* = 184) were approximately the same age (39.68 years; SD, ±14.83) and included more females (53.26%) and individuals who had lived in the area of endemicity for long periods of time (72.83% more than 3 years and 59.78% more than 10 years). The majority of the individuals infected with a *Plasmodium* sp. were asymptomatic at the time of the study and during the 30 days of follow-up (*n* = 106). The individuals with asymptomatic malaria were also older and had lived in the area of endemicity for longer periods than those with symptomatic infections (Table 1). Most of the patients with symptomatic malaria presented with uncomplicated disease, with only 5 cases of severe malaria present. *Plasmodium vivax* was the main malarial agent (93.40% of symptomatic malaria cases and 91.03% of asymptomatic cases), and *Plasmodium falciparum* was detected in the rest of the malaria cases.

Haptoglobin genotype. The *Hp2.1S* genotype was the most frequently detected genotype in all of the different study groups, representing 41.25% (*n* = 33) of the noninfected individuals, 32.05% (*n* = 25) of the asymptomatic malaria cases, and 37.74% (*n* = 40) of those with symptomatic infections (chi-square *P* = 0.035). The *Hp1S* allele was observed more frequently in asymptomatic cases and corresponded to 44.81% (*n* = 95) of the Hp alleles seen in this group. The *Hp2* allele was the most commonly observed allele in both the noninfected and symptomatic malaria cases, representing 45.00% (*n* = 72) and 48.72% (*n* = 76)

of the alleles in each group, respectively (Fig. 1A and B). Remarkably, the *Hp2* allele was associated with a higher risk of developing symptomatic malaria than asymptomatic malaria upon *Plasmodium* infection (odds ratio [OR] = 1.666, *P* =

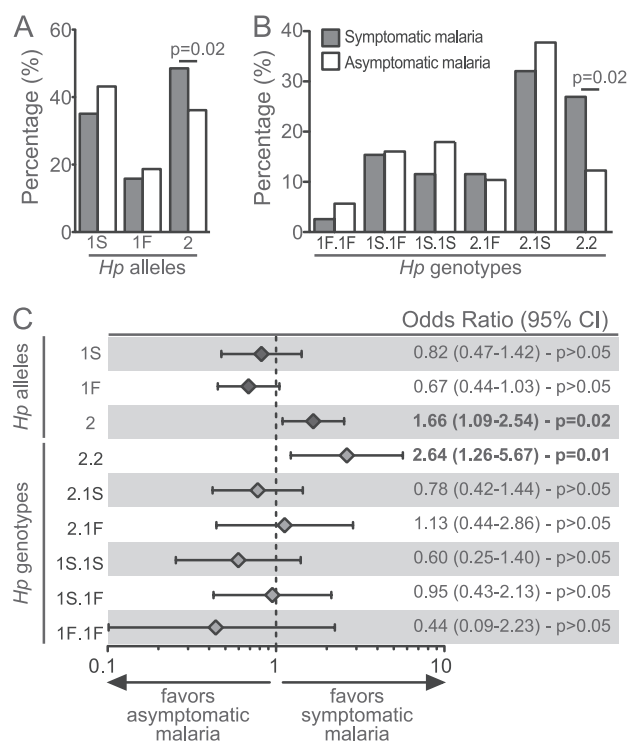


FIG 1 Haptoglobin genetic profiles influence malaria susceptibility. We studied 80 uninfected healthy subjects, 106 subjects with asymptomatic malaria, and 78 subjects with symptomatic *Plasmodium* infection. All of the study subjects were from the Brazilian Amazon. (A) Percentage of individuals with symptomatic (gray bars) or asymptomatic (white bars) malaria carrying the different haptoglobin (*Hp*) alleles, with both homozygous and heterozygous individuals considered for each allele. (B) Percentage of individuals with symptomatic (gray bars) or asymptomatic (white bars) malaria carrying the different *Hp* genotypes. The differences between the groups illustrated in panels A and B were compared using Fisher's exact test. (C) Univariate linear regression analyses of the different *Hp* alleles and genotypes were performed to estimate malaria susceptibility. The odds ratios, respective 95% confidence intervals (95% CIs), and *P* values are shown in each panel.

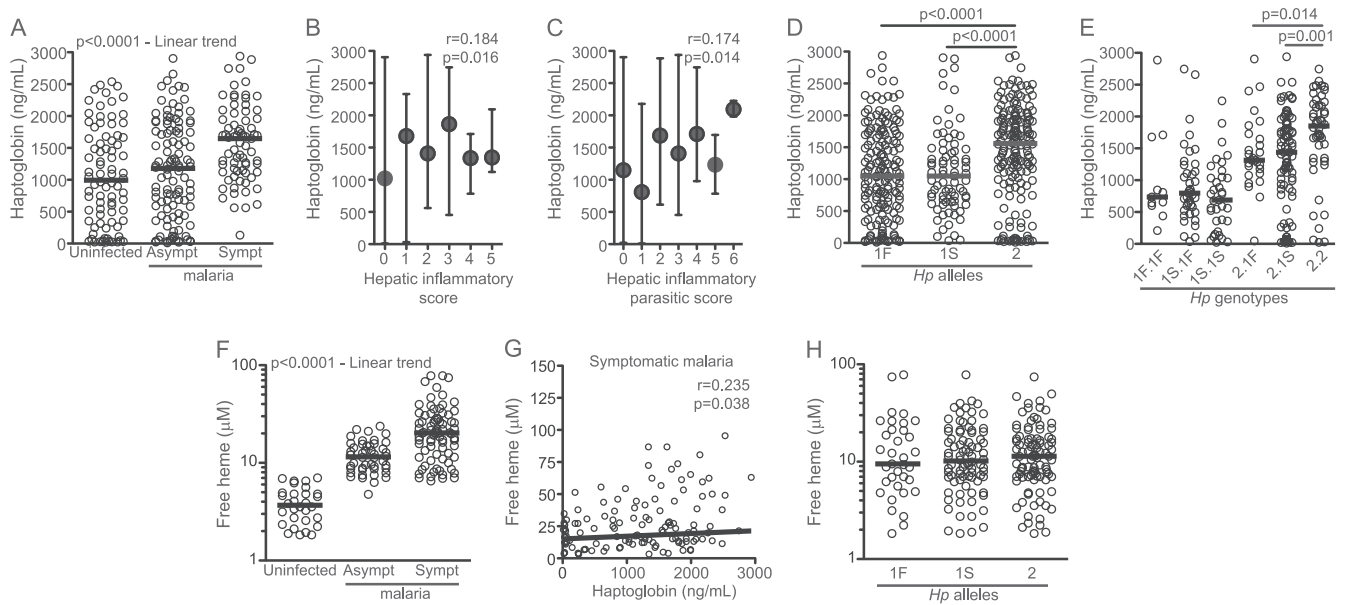


FIG 2 Associations between the systemic levels of haptoglobin and total heme and malaria susceptibility. The plasma concentrations of Hp were measured in the subjects referred to in the legend to Fig. 1. Each symbol represents a single patient, and the lines represent medians. The systemic Hp levels in the different clinical outcome groups were compared (A) and correlated with the degree of hepatic damage and inflammation, as evaluated by the severity scores described in Materials and Methods (B and C). The Hp levels in individuals with different *Hp* alleles (D) or genotypes (E) were also compared. The systemic levels of free heme were compared in patients with different malaria outcomes (F), correlated with the amounts of Hp in the plasma (G), and then compared among individuals with various *Hp* alleles (H). The data were compared using the Mann-Whitney test (comparisons between two groups), the Kruskal-Wallis test with Dunn's multiple comparisons, or linear trend analysis (comparisons between more than two groups). In panels B, C, and G, the data were analyzed using Spearman's rank correlation test. *P* values are shown in each graph.

0.0228; Fig. 1C). That is, individuals who carry the *Hp2* allele have a greater chance of developing symptoms once they are infected by *Plasmodium*. In addition, the *Plasmodium*-infected individuals with the homozygous *Hp2.2* genotype had an even greater chance of developing malaria symptoms (OR = 2.636, *P* = 0.0193; Fig. 1C). Thus, these findings show that the *Hp2* allele and the *Hp2.2* genotype are strongly associated with an increased susceptibility to development of malaria-related symptoms upon *Plasmodium* infection.

We then tested whether the different *Hp* genotypes are indeed associated with different systemic concentrations of Hp. Notably, increased plasma levels of Hp were directly associated with the clinical presentation of malaria, with symptomatic individuals exhibiting higher Hp levels than individuals with asymptomatic infection or noninfected individuals (Fig. 2A). By using a previously reported, laboratory-based score to standardize a reproducible evaluation of the severity of *P. vivax* infection (4), we found that the plasma haptoglobin values were indeed positively correlated with the hepatic inflammatory HI and HIP scores ($r = 0.184$ and $P = 0.016$ for HI and $r = 0.174$ and $P = 0.014$ for HIP; Fig. 2B and C). Thus, the amount of Hp in the circulation increases during symptomatic infection, and individuals with inflammation-associated liver damage present higher levels of Hp. Notably, those individuals who were homozygous or heterozygous for the *Hp2* allele displayed augmented plasma concentrations of Hp compared with carriers of the *Hp1F* and *Hp1S* alleles (Fig. 2D). Moreover, of all the *Hp2*-containing genotypes, individuals with the *Hp2.2* genotype exhibited the highest systemic Hp levels (Fig. 2E). Interestingly, the *Hp2.2* genotype has been linked to a reduced Hp binding affinity for cell-free Hb (39). Indeed, in the present study,

the individuals with symptomatic malaria were more likely to have the *Hp2.2* genotype and higher concentrations of free heme in the plasma than those with asymptomatic malaria or uninfected individuals (Fig. 2F). The plasma Hp levels were also positively correlated with the amount of free heme in the plasma ($r = 0.235$, $P = 0.038$; Fig. 2G). These results suggest that individuals with the *Hp2* allele or the *Hp2.2* genotype produce more Hp protein, probably to compensate for the lower affinity that this Hp has for free Hb in the circulation. Consequently, individuals carrying the *Hp2* allele need to produce more Hp protein to have the same amount of free heme as individuals with the other *Hp* alleles (Fig. 2H). Thus, the Hb binding affinity of Hp seems to be more important than their Hp levels in determining an individual's susceptibility to symptomatic malaria.

***HMOX1* microsatellite polymorphism.** Free heme is a potent inducer of HO-1 (14), and we found that symptomatic patients who presented with high levels of circulating free heme also displayed increased systemic concentrations of HO-1 compared with noninfected individuals or those with asymptomatic infections (Fig. 3A). In addition, the patients with both symptomatic and asymptomatic malaria had longer (GT)*n* dinucleotide repeats than noninfected volunteers (29.90 ± 3.225 , 28.74 ± 2.987 , and 28.01 ± 2.868 repeats, respectively; $P < 0.001$; Fig. 3B). The classification of the *HMOX1* polymorphism based on the number of GT repeats varies among studies and depends on the frequency peaks of the repeats found in each study sample (21, 37, 61). In our study, the number of (GT)*n* repeats ranged from 19 to 40, with three frequency peaks at 28, 29, and 30 repeats (Fig. 3C). The inducibility of the *HO-1* gene promoter is known to be negatively correlated with the number of GT repeats (65), and we adapted

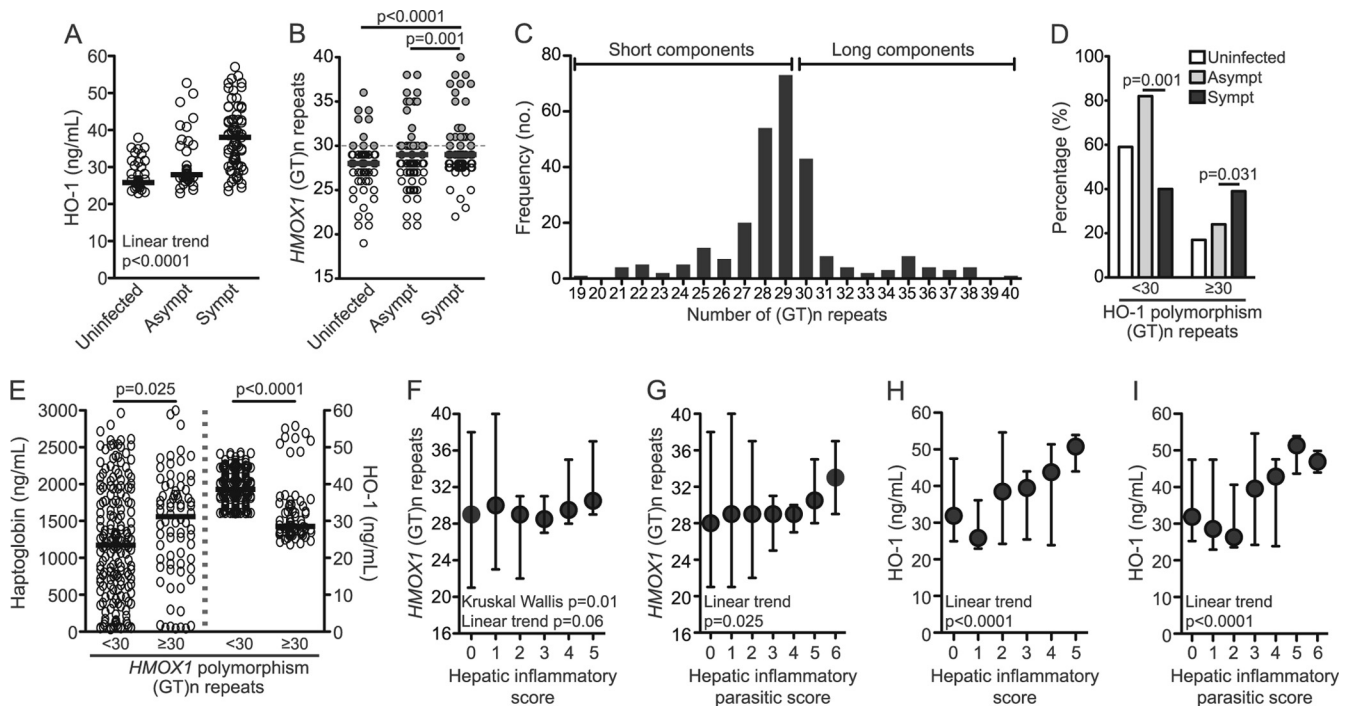


FIG 3 *HMOX1* gene polymorphisms influence susceptibility to malaria. (A) The plasma HO-1 concentrations in noninfected individuals and those with asymptomatic or symptomatic malaria were compared. (B) The numbers of GT repeats in the *HMOX1* gene in noninfected individuals and those presenting with asymptomatic or symptomatic malaria were also compared. Gray dots represent individuals carrying ≥ 30 GT repeats in the *HMOX1* gene. (C) Frequency of the different number of (GT)*n* repeats in the study population. (D) Percentage of noninfected individuals (white bars) and individuals with asymptomatic (gray bars) or symptomatic (black bars) malaria carrying the short or long (GT)*n* repeats in the *HMOX1* gene. (E) Plasma levels of Hp (left) and HO-1 (right) in individuals with short or long (GT)*n* repeats in the *HMOX1* gene (data were compared using a Mann-Whitney test). (F and G) The associations between the number of (GT)*n* repeats in the *HMOX1* gene and the degree of liver damage or disease severity were estimated by the hepatic inflammatory and hepatic inflammatory parasitic scores. (H and I) Plasma HO-1 concentrations in relation to the malaria severity scores. In panels F to I, the symbols represent the median values and the whiskers represent maximum and minimum values. The differences between the groups illustrated in panel D were compared using a chi-square exact test and Fisher's exact test (only the *P* values from Fisher's exact test are shown). The data from the other panels were compared using the Kruskal-Wallis test with Dunn's multiple comparisons or linear trend analysis. *P* values are shown in each panel.

previous classifications of the *HMOX1* gene polymorphisms (69) to stratify the classification into two categories: a short form (<30 GT repeats) and a long form (≥ 30 GT repeats).

The individuals carrying a short form of the *HMOX1* gene polymorphism were more likely to present with asymptomatic malaria, whereas those with longer repeats were mostly symptomatic (Fig. 3D). Thus, long *HMOX1* GT repeats are associated with an increased susceptibility to develop symptoms upon *Plasmodium* infection. In agreement with a previous study (24), we found that plasma HO-1 levels were consistently lower in the patients who carry the long form of the *HMOX1* gene polymorphism than the patients with the short GT form (Fig. 3E). In contrast, the patients with the long GT form displayed higher levels of Hp (Fig. 3E), arguing that those individuals who have the long form and low concentrations of HO-1 also have higher levels of Hp, possibly as a regulatory mechanism against hemolysis. Although the symptomatic patients presented with higher overall HO-1 levels than uninfected individuals or those with asymptomatic malaria, the patients with longer (GT)*n* repeats were more likely to have symptomatic malaria and relatively lower levels of HO-1 than the patients with long repeats (Fig. 3A and E). There was no association between the systemic concentrations of HO-1 and the *Hp* genotypes (data not shown).

The individuals with ≥ 30 GT repeats had greater susceptibility

for developing symptomatic malaria than did the individuals with <30 repeats (OR = 3.35, confidence interval [CI] = 1.91 to 5.88, *P* = 0.0002). Intriguingly, we found an association between the severity scores and the number of GT repeats (Fig. 3F and G) and the HO-1 plasma values (Fig. 3H and I). Thus, the patients with the long form of the *HMOX1* gene polymorphism displayed higher severity scores than did those carrying shorter *HMOX1* GT forms. Despite carrying the long form of the *HMOX1* polymorphism more frequently, the symptomatic malaria patients who presented with increased inflammatory damage had higher systemic concentrations of the HO-1 protein. This finding suggests that higher HO-1 levels are a counterregulatory response to inflammation, despite the fact that this genetic background is associated with a lower *HMOX1* induction. Thus, these observations suggest that, like the *Hp* genotype, the long forms of the *HMOX1* gene polymorphism can increase susceptibility to malaria, but with a different level of complexity.

Systemic levels of the Hp receptor sCD163. The binding of Hp to the CD163 receptor on the surface of monocytes or macrophages leads to the removal of the Hp complexes formed by cell-free Hb and haptoglobin (39). The soluble form of the CD163 receptor, sCD163, is a surrogate marker of systemic inflammation, and increased levels of this marker are correlated with a poor prognosis in a number of pathological conditions. In this study,

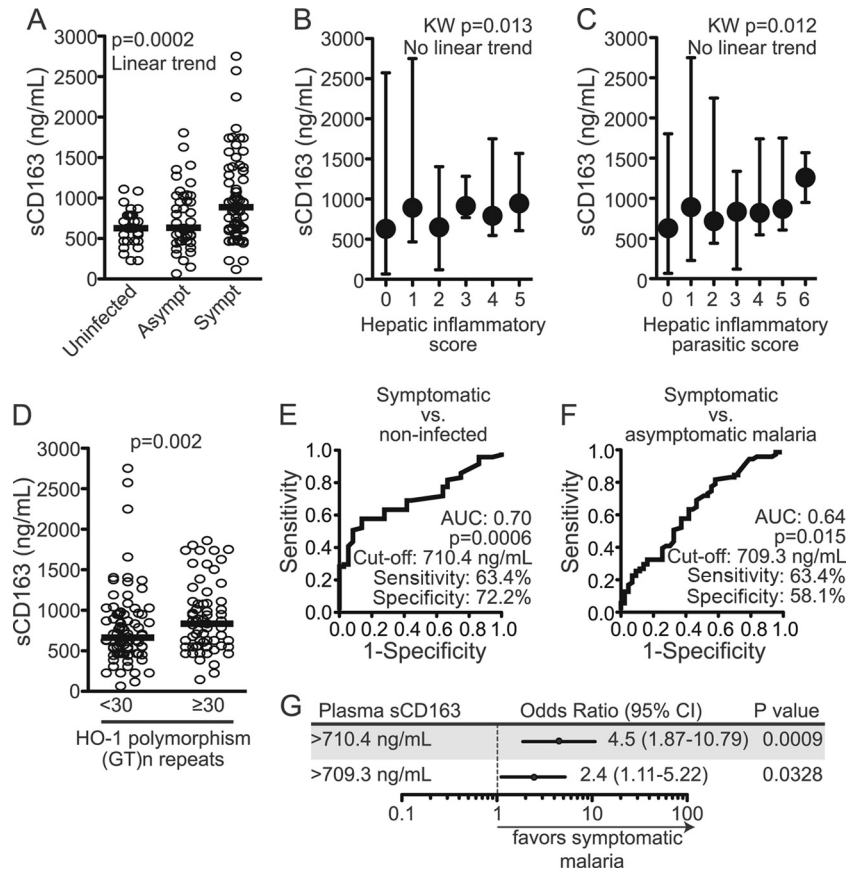


FIG 4 Soluble CD163 and susceptibility to malaria. (A) The systemic concentration of sCD163 was quantified in all subjects and correlated with different malaria outcomes. (B and C) The systemic levels of sCD163 were tested for associations with the hepatic inflammatory scores using Kruskal-Wallis tests with linear trend posttest analysis. (D) The plasma sCD163 levels in individuals with short and long (GT)*n* repeats in the *HMOX1* gene were compared. The data were compared using a Mann-Whitney test. ROC curve analyses were performed to depict the power of sCD163 to discriminate individuals with symptomatic malaria from those not infected with *Plasmodium* (E) or from those with asymptomatic malaria (F). AUC, area under the curve. The cutoff values for sCD163 levels were established by C statistics and are shown in each graph. (G) A univariate logistic regression analysis was performed to test associations between sCD163 concentrations above the established cutoff values, and the sCD163 concentrations were used to determine the chance of developing malaria-related symptoms by comparison with the sCD163 concentrations for the uninfected individuals (first line; sCD163 cutoff, 710.4 ng/ml) or individuals with asymptomatic malaria (second line; sCD163 cutoff, 709.3 ng/ml). The odds ratios, respective 95% confidence intervals (95% CIs), and *P* values are shown in each panel.

the individuals with symptomatic malaria had higher systemic sCD163 levels than did those with symptomless infection or non-infected individuals (Fig. 4A). In addition, the sCD163 levels were positively correlated with plasma Hp levels ($r = 0.2477$, $P = 0.0028$), and there were no associations between sCD163 concentrations and the *Hp* genotypes of the patients (data not shown). The sCD163 levels were also correlated with the plasma concentrations of TNF ($r = 0.2095$, $P = 0.0101$), IL-6 ($r = 0.1991$, $P = 0.0146$), C-reactive protein ($r = 0.2618$, $P = 0.0012$), and creatinine ($r = 0.2693$, $P = 0.0009$). The laboratory scores that suggest malaria severity (HI and HIP) were positively correlated with sCD163 (HI, $r = 0.1831$ and $P = 0.0249$; HIP, $r = 0.1726$ and $P = 0.0353$; Fig. 4B and C). These findings indicate that sCD163 is indeed associated with systemic inflammation and malaria symptomatology.

Interestingly, the systemic sCD163 concentrations were positively correlated with the number of (GT)*n* dinucleotide repetitions in the *HMOX1* gene polymorphisms ($r = 0.1646$, $P = 0.0441$), and individuals with ≥ 30 GT repeats had higher levels of sCD163 than did those with < 30 GT repeats (Fig. 4D). Although

we found a positive association between *HMOX1* gene polymorphisms and systemic sCD163 levels, we could not detect a correlation between this soluble marker and the plasma concentrations of HO-1 or free heme levels (data not shown). This finding supports the idea that sCD163 plays a role in the onset of malaria symptoms, and this effect may not be directly associated with the genetic profiles of the *Hp* and *HMOX1* genes. Indeed, plasma sCD163 levels could discriminate those patients with symptomatic malaria from noninfected individuals (Fig. 4E) and those with asymptomatic malaria (Fig. 4F). Univariate logistic regression analysis confirmed the association between high sCD163 levels and a susceptibility to development of malaria symptoms (Fig. 4G). Interestingly, the individuals who were carriers of the *Hp*2.2 and long *HMOX1* (GT)*n* repeats and exhibited high systemic concentrations of sCD163 were more likely to have symptomatic malaria than the individuals without any of the three potential risk factors, e.g., *Hp*1.1 or *Hp*2.1 carriers with short *HMOX1* (GT)*n* repeats and low systemic concentrations of sCD163 (chi-square $P = 0.0055$). These data indicate that a combined contribution of

these three factors involved in the detoxification of free heme (Hp, sCD163, and HO-1) contributes to the determination of malaria susceptibility.

DISCUSSION

To our knowledge, the present study is the first to simultaneously assess genetic alterations and the plasma concentrations of different key components of the detoxification of free heme in malaria patients. In addition, we are the first to report an association between the *Hp* and *HMOX1* genes and sCD163 levels and susceptibility to disease in the context of *Plasmodium vivax* infection. From a clinical standpoint, the infections caused by *P. vivax* and *P. falciparum* are unequal and happen as the result of different host immune and inflammatory responses. The malaria caused by *P. falciparum* is more frequently associated with acute life-threatening complications, whereas *vivax* malaria is usually mild and nonlethal. These differences may be associated with the differential induction of the host's defense mechanisms for circumventing the deleterious effects of heme. Our study does not address these differences, and additional epidemiological and mechanistic studies are necessary to answer this question. Our results demonstrate that individuals with the *Hp2.2* phenotype have a higher risk of developing symptomatic (as opposed to asymptomatic) malaria upon *Plasmodium* infection. The presence of the *Hp2.2* genotype has been associated with an increase in redox-active iron and oxidative stress compared with the presence of the *Hp1.1* genotype (9, 47). Moreover, the Hb-*Hp2.2* complex, but not other *Hp1* complexes, can be internalized by monocytes and stimulate the release of proinflammatory cytokines (57). Indeed, the *Hp2.2* phenotype has been associated with susceptibility to several inflammatory conditions, including malaria (11, 20, 22, 28, 36, 55, 58). Haptoglobin is considered an acute-phase protein that increases 2- to 4-fold during the response to acute inflammation (35). We observed that heme and Hp levels were higher in those individuals with symptomatic malaria than those with symptomless infection or those not infected with *Plasmodium*. Interestingly, the subjects with the *Hp2.2* genotype presented with augmented systemic concentrations of Hp compared with those carrying the *Hp1* allele. Although acute and severe hemolysis will always lead to a reduction in Hp clearance, as seen in severe malaria (32), the response to chronic or low-level hemolysis, which is commonly seen in mild *vivax* malaria, is difficult to predict. Evidence suggests that, unlike the Hb-*Hp1-1* complex, the Hb-*Hp2.2* complex can stimulate the release of IL-10 and IL-6 (31) and that IL-6 expression increases the synthesis of Hp. It is also possible that the higher Hp production in individuals with the *Hp2* allele acts as a compensatory mechanism for the lower affinity of this Hp for cell-free Hb (39).

We describe herein that subjects with the long form (≥ 30 GT repeats) of the *HMOX1* gene polymorphism have greater susceptibility to developing symptomatic malaria than individuals with the short form (< 30 repeats), suggesting that the *HMOX1* gene polymorphism is involved in susceptibility to *Plasmodium* infection. The individuals who carried longer (GT)*n* dinucleotide repeats and had symptomatic infections also had higher HI and HIP inflammatory scores, suggesting an association between the *HMOX1* gene and the control of inflammation. Sambo et al. (59) found that shorter GT repeats in the *HMOX1* gene are associated with patients with cerebral malaria as opposed to patients with uncomplicated malaria or a noninfected control group. However,

this difference was not seen when comparing the group of cerebral malaria patients with patients exhibiting other severe forms of malaria. The malaria patients in this study were mostly infected by *P. vivax* and more frequently exhibited the noncomplicated forms of the disease. Furthermore, another study also reported an association between short (GT)*n* dinucleotide repetitions in the *HMOX1* gene and human cerebral malaria caused by *P. falciparum*, suggesting that higher expression of HO-1 is detrimental for malaria (63). Nevertheless, increased concentrations of HO-1 have been strongly associated with protection against malaria in mice. This protection mainly occurs through the production of carbon monoxide (CO) gas, which binds to cell-free Hb with very high affinity. This interaction results in the formation of carboxyhemoglobin, which prevents heme release and an increase in intravascular free heme (26, 53).

In general, we found that the individuals with symptomatic malaria had higher plasma HO-1 concentrations than did individuals with symptomless infection or noninfected subjects. HO-1 is an intracellular enzyme, and the source of this molecule in the plasma is unclear. A reasonable explanation would be the release of HO-1 after cellular lysis during inflammation. Additionally, elevated plasma HO-1 levels have been reported with other diseases, such as vasculitis in Henoch-Schonlein purpura (19), hemophagocytic syndrome from hematological disorders (38, 49), type 2 diabetes (13), and prostate cancer (16). We speculate that HO-1 could play an anti-inflammatory role by degrading heme, which would dictate the severity of malaria. However, this effect remains to be established. Some studies using experimental models of malaria suggest that deleting the *HMOX1* gene or pharmacologically inhibiting HO-1 activity in mice accounts for the pathogenesis of malaria, as these mice will not have the enzyme responsible for the detoxification of deleterious free heme (25). In contrast, our results show that individuals with symptomatic malaria have higher plasma HO-1 levels than do those with asymptomatic infection. In symptomatic individuals, the increased amounts of free heme and the cytokine storm that is associated with inflammation could be inducing increased levels of HO-1 as a counterregulatory response, especially considering the fact that *HMOX1* gene expression is highly inducible by heme (64). Nevertheless, we have also found a group of symptomatic subjects who were more likely to be carriers of long (GT)*n* dinucleotide repeats in the *HMOX1* gene microsatellite and had lower systemic levels of HO-1. In particular, those individuals with the long form of the *HMOX1* polymorphism are generally those who have lower expression of the enzyme as a result of the genetic factor. In our study, the individuals with symptomatic malaria who presented with low expression of HO-1 were also the ones who carried the long form of the *HMOX1* (GT)*n* polymorphism. In these cases, the genetic factor is probably preventing the counterregulatory increase in HO-1. Furthermore, malaria symptomatology may be associated with either increased or decreased expression of HO-1. High HO-1 levels may result from a counterregulatory response to infection and the cytokine storm and can lead to the increased synthesis of iron (a heme catabolism product), which can also be harmful to humans (63). Low HO-1 levels can result from a genetic component that results in a higher concentration of deleterious free heme in the circulation and is associated with symptomatic malaria (25, 26). Our results argue that both high and low levels of HO-1 may be associated with a greater chance of developing symptoms after *Plasmodium* infection. Our group is cur-

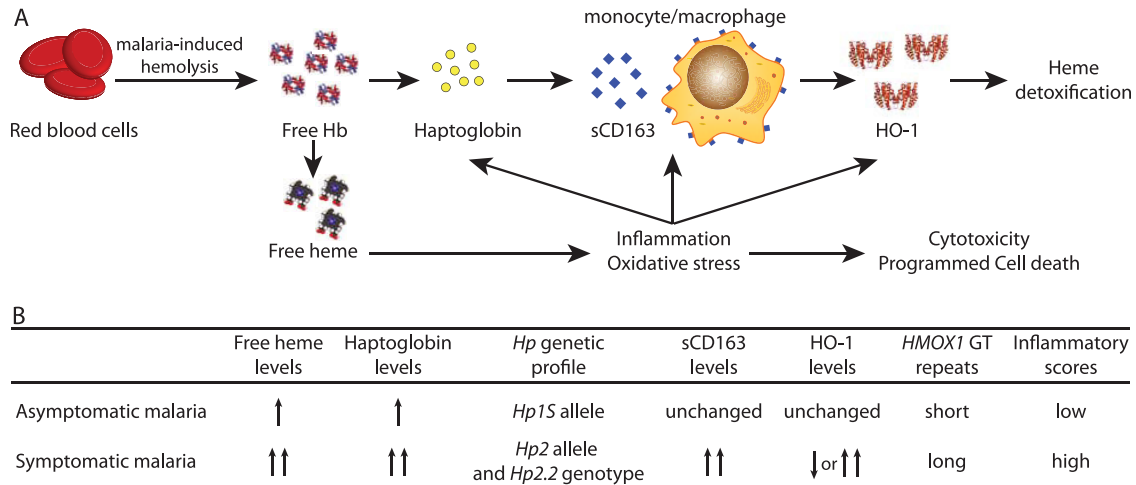


FIG 5 Heme metabolism and malaria outcomes. The diagram illustrates a summary of the major mechanisms that are triggered by hemolysis during malaria. (A) Under homeostatic conditions, the free Hb that is released by dead red blood cells is rapidly scavenged by haptoglobin, and this molecular complex is removed from the circulation by the haptoglobin-Hb receptor CD163 on the surface of monocytes and macrophages. The Hb is processed inside these cells in an event that releases heme, which is further metabolized by the antioxidant enzyme HO-1. During an acute malarial attack, there is an accumulation of circulating free hemoglobin that is not compensated for by the amount of Hp available in the blood. The excess of free Hb is then oxidized by free radicals, releasing free heme. Free heme is very toxic to the cells and induces inflammation, macrophage activation, and oxidative stress. The host homeostatic responses triggered by heme include the induction of Hp, CD163, and HO-1. (B) We found that the intensity of the malaria-related symptoms is associated with the levels of circulating free heme. The individuals who developed symptoms after *Plasmodium* infection exhibited higher levels of Hp, soluble CD163, and HO-1. Nevertheless, this counterregulatory response is not sufficient to reduce the amount of free heme in the plasma, which also might explain the higher inflammatory scores estimated in symptomatic patients. These susceptible individuals carried the *Hp2* allele or the *Hp2.2* genotype more frequently than did other individuals. In addition, they more frequently carried long GT repeats in the *HMOX1* polymorphism, which are paradoxically associated with a relatively lower plasma HO-1 concentration. The individuals with clinical immunity against malaria who remained asymptomatic upon *Plasmodium* infection tended to carry the *Hp15* allele and have short GT repeats in the *HMOX1* polymorphism more frequently than the symptomatic individuals. These protected individuals still had modest elevations in the levels of free heme and haptoglobin, with no differences in the concentrations of sCD163 and HO-1 compared with noninfected individuals.

rently performing mechanistic studies to better understand the effects of HO-1 in human malaria.

This study revealed that plasma sCD163 levels gradually increased in correlation with the severity of the malaria infection. sCD163 has been identified to be an anti-inflammatory mediator that inhibits human T-lymphocyte activation and proliferation, and the binding of Hb-Hp complexes to sCD163 has been shown to suppress the supply of heme iron that is available to hemolytic bacteria (34, 67). Interestingly, several inflammatory processes are associated with elevated levels of sCD163 (18, 29, 33, 45, 46, 50–52, 60, 66), including falciparum malaria (41). Therefore, because symptomatic malaria is associated with a higher inflammatory response, the increased sCD163 concentrations in the symptomatic group probably serve as a counterregulatory mechanism against inflammation. Consistent with the inflammatory response seen during malaria infection, our results found a positive correlation between the levels of sCD163, TNF- α , and acute-phase proteins such as C-reactive protein and Hp. Indeed, sCD163 levels have already been positively correlated with TNF- α levels in falciparum malaria (41) and C-reactive protein in diabetes (50). TNF- α is able to induce the hepatic synthesis of Hp and regulates the expression of CD163 in monocytes and macrophages (41). IL-6 and IL-10 stimulate the expression of membrane-bound CD163 and have been positively correlated with sCD163 levels (17, 62). In this study, sCD163 levels were correlated with IL-6 levels; however, sCD163 levels did not correlate with IL-10 levels. Furthermore, sCD163 was positively correlated with HI and HIP scores, confirming the relationship between this molecule and inflammation in malaria.

Studies that simultaneously evaluate the different steps of the heme detoxification process may help to explain some of the controversies surrounding the effects of alterations in specific elements of this pathway. We have demonstrated that the individuals carrying the *Hp2.2* genotype and the longer *HMOX1* gene (GT) n dinucleotide repeats who also presented with high systemic concentrations of sCD163 have a greater susceptibility to developing clinical malaria than do those without these three potential risk factors. As shown in other studies (10–12, 15, 22, 23, 40, 41, 48, 56, 63) as well as in our work, genetic alterations in the *HMOX1* and *Hp* genes and changes in sCD163 levels are all important elements during *Plasmodium* infection. A summary of the determinants involved in heme metabolism and our major findings is depicted in Fig. 5. The majority of the malaria cases in our study were caused by *P. vivax*, which limits our ability to compare our results with most of the findings in the current literature, which has focused on falciparum malaria. Research aimed at understanding the key factors involved in the immunopathogenesis of susceptibility to vivax malaria has been relatively neglected and made a low priority. There are clear similarities in the diseases caused by *P. falciparum* and *P. vivax*. Both infections cause hemolysis, for example. However, there are important and well-described differences between *P. vivax* and *P. falciparum* that result in different parasitemia thresholds for triggering severe malaria (2). The different clinical outcomes of these diseases make it important to expand the studies investigating the factors that are associated with the susceptibility to infection and disease severity in *P. vivax* malaria. Thus, studies assessing larger populations of *P. vivax*-infected individuals are needed to clarify the roles that sCD163,

Hp, and HO-1 play in heme metabolism during human malaria infections. The validation of the results presented in the current study may provide new resources for the development of future targeted therapies that could aid in reducing malaria severity.

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We declare that we have no conflicts of interest.

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