1	Outbreak of a carbapenem-resistant XDR Acinetobacter baumannii belonging to
2	the International Clone II (IC2) in a clinical setting in Brazil, 2022
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15	
16	<b>Keywords:</b> International clone; CRAB; extensively drug resistance; <i>armA</i> ; OXA-23;
17	tigecycline resistance.
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## 22 ABSTRACT

23	Carbapenem-resistant Acinetobacter baumannii (CRAB) is a leading cause of
24	nosocomial infections worldwide, and the occurrence of extensively drug-resistant
25	(XDR) lineages among them is increasing. Most of A. baumannii pandemic lineages,
26	known as International clones, are represented by MDR/XDR CRAB strains. The IC2 is
27	considered one of the most successful and widespread pandemic clones, however, it is
28	rare in South America, where IC1, IC4 and IC5 are prevalent. In Brazil, besides
29	sporadic reports, an IC2 outbreak was reported only once in São Paulo city during the
30	COVID-19 pandemics. This study characterized an outbreak caused by IC2 strains
31	(n=16) in a hospital in Rio de Janeiro in 2022. MLST (MLST Pasteur scheme) analysis
32	revealed that all strains recovered from nosocomial infections belonged to ST2 and
33	corresponded to CRAB presenting the XDR phenotype. In general, this broad resistance
34	spectrum was explained by the presence of several antibiotic resistance genes (ARGs)
35	(armA, $bla_{\text{TEM}}$ , $bla_{\text{OXA-23}}$ , $bla_{\text{OXA-66}}$ , and $aacA4$ -catB8-aadA1-qacE $\Delta$ 1/sul1 carried in
36	class 1 integron). Interestingly, the strains characterized here presented a broader
37	resistance spectrum compared to those of the unique other and contemporary IC2
38	outbreak in Brazil, although they shared most of the ARGs. This study stressed the
39	possibility of the successful establishment of IC2 in Brazilian clinical settings during
40	and after the COVID-19 pandemics in response to a series of events, such as the
41	overuse of antibiotics, during that period.
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# 46 INTRODUCTION

47	Acinetobacter baumannii has emerged as one of the most critical opportunistic
48	pathogens for public health worldwide, being frequently involved in ventilator-
49	associated pneumonia, bacteremia, and life-threatening nosocomial infections among
50	severely ill and immunocompromised individuals [1]. This species belongs to the
51	ESKAPE group of pathogens and is featured by its propensity in persisting on inanimate
52	surfaces and acquiring multidrug resistance, which allows it to survive for long periods
53	in the hospital environment. These characteristics contribute to A. baumannii person-to-
54	person transmission, and nosocomial spread that favors the successful establishment of
55	infections, leading to a worrisome impact on clinical outcomes [2].
56	Currently, the golden standard for the treatment of infections caused by A.
57	baumannii is carbapenems due to their intrinsic activity against this pathogen [3]. High-
58	risk A. baumannii pandemic lineages, named international clones (ICs), generally
59	present a multidrug (MDR) and extensively drug resistance (XDR) character and have
60	been associated with outbreaks around the world. These lineages have mostly been
61	responsible for the spread of carbapenemase genes, such as $bla_{OXA-23}$ , contributing
62	significantly to carbapenem resistance dispersion [4]. So far, several ICs have been
63	more frequently reported, and among them, the IC2 (represented by the ST2 determined
64	by the MLST Pasteur scheme) is the most globally disseminated and prevalent
65	carbapenem-resistant A. baumannii (CRAB) lineage that has been involved with
66	difficult-to-treat outbreaks around the world [5-8]. In Brazil, A. baumannii of IC1, IC4,
67	IC5 and to a lesser extent, IC7, have been the most prevalent international clones
68	circulating all over the country [9-14]. Moreover, the IC6 international clone
69	(ST78 <sup>PAS</sup> /ST944 <sup>OXF</sup> ) was recently described in the Brazilian Amazon region [15].
70	However, in spite of the successful IC2 global spread, its occurrence has barely been

71	reported in Latin America [12,16]. Moreover, IC2 CRAB strains were sporadically
72	described in the Brazilian South region from 1999 to 2002, resurging after ten years
73	(2013-2014). After that, only more recently a local IC2 outbreak was reported in a
74	hospital in São Paulo city [17-21].
75	Here, we report a recent outbreak caused by CRAB of the IC2 lineage in a
76	hospital in Rio de Janeiro in 2022, and characterize the strains considering their
77	antimicrobial resistance profile, and the presence of genes and elements involved with
78	this phenotype.
79	
80	METHODS
81	From June to September 2022, 16 A. baumannii strains were recovered from

82 nosocomial infections occurring in a tertiary care hospital placed in Rio de Janeiro. This 83 hospital has 250 beds of which 60 are divided into six ICUs, all of them with medical, 84 surgical and transplantation units. The bacteria were isolated from several clinical 85 specimens (blood, urine, and bronchoalveolar aspirate) of different inpatients placed in the ICUs. Species identification was obtained by VITEK2 Automated System. The A. 86 87 baumannii identification was confirmed by PCR amplification and Sanger sequencing 88 of cnp60, and 16S rRNA genes. The antimicrobial susceptibility test (AST) was determined by the disc-diffusion 89

90 method for all antibiotics considered for *Acinetobacter spp*. resistance classification

91 [22], and interpreted according to the Clinical and Laboratory Standards Institute

92 (CLSI) [23]. The Disc-diffusion method was also applied for tigecycline, but interpreted

to the breakpoints suggested by the FDA for *Enterobacteriaceae* (susceptible  $\geq 19$  mm;

intermediate 15–18 mm, and resistant  $\leq$ 14 mm) (https://

95 www.accessdata.fda.gov/drugsatfda\_docs/label/2013/021

96	821s026s031lbl.pdf) [24]. The MIC of polymyxin B and colistin was determined by
97	broth microdilution and interpreted according to the European Committee on
98	Antimicrobial Susceptibility Testing (EUCAST) guidelines (MIC breakpoints for
99	resistance >2mg/L) [25].
100	The Multilocus Sequence Typing (MLST) based on the Pasteur scheme (PAS)
101	[26] was performed to determine the strains' sequence typing (ST) and to establish their
102	clonal relationship (https://pubmlst.org/organisms/acinetobacter-baumannii). The
103	presence of the most frequent antimicrobial resistance genes involved with resistance
104	emergence to the clinically relevant antibiotics for Acinetobacter spp. [22] (bla <sub>IMP</sub> ,
105	<i>bla</i> <sub>VIM</sub> , <i>bla</i> <sub>NDM</sub> , <i>bla</i> <sub>OXA-23</sub> , <i>bla</i> <sub>OXA-24-like</sub> , <i>bla</i> <sub>OXA-58-like</sub> , <i>bla</i> <sub>OXA-51-like</sub> , <i>bla</i> <sub>GES</sub> , <i>bla</i> <sub>CTX-M</sub> ,
106	<i>bla</i> <sub>TEM</sub> , <i>armA</i> , <i>rmtD</i> , <i>mcr1-5</i> ) were screened by PCR. The presence of ISAba1 upstream
107	$bla_{OXA}$ genes was also evaluated. The Quinolone Resistance Determining Region
108	(QRDR) of gyrA and parC involved with fluoroquinolone resistance emergence in A.
109	baumannii was also investigated, as well as the class 1 and 2 integrons and their
110	antibiotic resistance gene content (Table 1).

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### 112 **RESULTS AND DISCUSSION**

113 The MLST analysis revealed that all 16 strains belonged to the international

114 clone IC2. Interestingly, in spite of being a successfully worldwide established clone,

the IC2 is sparse and had not been associated with outbreaks in Brazil and South

116 America. After a long period without being detected in Brazil (last reported in 2014)

117 [20], CRAB IC2 resurged in 2020 causing an outbreak in a São Paulo hospital,

118 persisting for at least one year [21]. Interestingly, our study reports the contemporary

119 occurrence of an IC2 outbreak in another Southeast Brazilian state (Rio de Janeiro),

however, presenting a broader resistance profile compared with those IC2 strains of the
2020/2021 outbreak [21].

122	Our results revealed that, in general, all 16 strains presented the extensively drug
123	resistance (XDR) phenotype, with some slight differences in resistance pattern. They
124	were resistant to all cephalosporins and carbapenems, and susceptible to polymyxins
125	(Table 2). Interestingly, the tigecycline resistance profile was heterogeneous among
126	them (resistant=7; susceptible=9; intermediate=3), suggesting differential regulation of
127	genes involved with this resistance. Therefore, it is worth mentioning that despite the
128	XDR phenotype, these strains were treatable since, besides the polymyxins, some
129	strains were also susceptible to minocycline or tigecycline, which increases the chances
130	of favorable outcomes, mainly if these drugs are used in combination [27].
131	The PCR analyses revealed that most of the strains carried a class 1 integron
131 132	The PCR analyses revealed that most of the strains carried a class 1 integron harbouring the $aacA4$ -catB8-aadA1-qacE $\Delta$ 1/sul1 gene arrangement, which could
132	harbouring the $aacA4$ -catB8-aadA1-qacE $\Delta$ 1/sul1 gene arrangement, which could
132 133	harbouring the $aacA4$ -catB8-aadA1-qacE $\Delta$ 1/sul1 gene arrangement, which could contribute to aminoglycoside resistance (aacA4 and aadA1). Besides, the search for
132 133 134	harbouring the $aacA4$ -catB8-aadA1-qacE $\Delta$ 1/sul1 gene arrangement, which could contribute to aminoglycoside resistance ( $aacA4$ and $aadA1$ ). Besides, the search for ARGs revealed the presence of other genes conferring resistance to aminoglycosides
132 133 134 135	harbouring the <i>aacA4-catB8-aadA1-qacEΔ1/sul1</i> gene arrangement, which could contribute to aminoglycoside resistance ( <i>aacA4</i> and <i>aadA1</i> ). Besides, the search for ARGs revealed the presence of other genes conferring resistance to aminoglycosides ( <i>armA</i> ), β-lactams ( <i>bla</i> <sub>TEM</sub> ), and carbapenems ( <i>bla</i> <sub>OXA-23</sub> and the <i>bla</i> <sub>OXA-51</sub> variant,
132 133 134 135 136	harbouring the <i>aacA4-catB8-aadA1-qacE<math>\Delta</math>1/sul1</i> gene arrangement, which could contribute to aminoglycoside resistance ( <i>aacA4</i> and <i>aadA1</i> ). Besides, the search for ARGs revealed the presence of other genes conferring resistance to aminoglycosides ( <i>armA</i> ), $\beta$ -lactams ( <i>bla</i> <sub>TEM</sub> ), and carbapenems ( <i>bla</i> <sub>OXA-23</sub> and the <i>bla</i> <sub>OXA-51</sub> variant, <i>bla</i> <sub>OXA-66</sub> ) in all studied ST2 <i>A. baumannii</i> (Table 2). All strains harboured the IS <i>Aba1</i>

140 incriminated in recent outbreaks among inpatients infected with SARS-CoV-2 in Brazil

141 and Italy [21,28] shared most of the ARGs identified here (*aacA4, aadA1, catB8, armA*,

142  $bla_{OXA-23}$  and  $bla_{OXA-66}$ ), indicating that, although transferable, these genes may be well

established in the current IC2 lineage circulating worldwide. Moreover, all IC2 strains

harboured the Ser83Leu and Ser80Leu substitutions in the GyrA and ParC, respectively,

145 explaining the observed fluoroquinolone resistance.

146	Camargo et al. [21] suggested that the reemergence and occurrence of an IC2
147	outbreak in São Paulo could be attributed to several unprecedented factors resulting
148	from COVID-19 pandemics, such as the overuse of antibiotics, the high number of
149	inpatients undergoing invasive procedures, such as mechanical ventilation, and drastic
150	alterations in the hospital routine and infrastructure. This was also the case in the
151	studied hospital, and such factors could also have favored the emergence of the IC2
152	outbreak and the establishment of this international clone in Rio de Janeiro in the
153	COVID-19 post-pandemic period.
154	Therefore, the present study reported the current occurrence of the CRAB IC2
155	presenting the XDR phenotype causing an outbreak in a clinical setting in Rio de
156	Janeiro hospital. The expressive ARG set observed in these strains could impact the
157	overall resistance profile of the bacteria circulating in this hospital, due to the possibility
158	of horizontal transfer of these ARGs.

159

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163

### 164 AUTHORS'S CONTRIBUTION

165 Conceptualization, ELF and ACPV; formal analysis, ELF; Collection of data and

166 clinical samples, LAM, PPCO, PMO, LSL, BPS, MARS and AOA; methodology, FSF,

- 167 NMSB and SMM; writing original draft, ELF; writing review and editing, ELF and
- 168 ACPV; supervision, ACPV.

169

#### 170 CONFLICTS OF INTEREST

171 The authors declare that there are no conflicts of interest.

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#### 173 ETHICAL STATEMENT

- 174 Ethics committee of FIOCRUZ gave ethical approval for this work under the number
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- 279
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281

# 282 **Table 1.** Primers used in this study.

Primer name	Primer sequence (5' – 3')
16S RNA F	AGAGTTTGATCCTGGCTCAG
16S RNA R	GTTGCGCTCGTTGCGGGACT
Cnp60 F <sup>a</sup>	ACTGTACTTGCTCAAGC
Cnp60 R <sup>ª</sup>	TTCAGCGATGATAAGAAGTGG
IMP F	GAAGGCGTTTATGTTCATAC
IMP R	GTAAGTTTCAAGAGTGATGC
VIM F	GTTTGGTCGCATATCGCAAC
VIM R	AATGCGCAGCACCAGGATAG
NDM F	GGTTTGGCGATCTGGTTTTC
NDM R	CGGAATGGCTCATCACGATC
OXA-51-like F	CGAAGCACACACTACGGGTGTTT
OXA-51-like R	TTCCCTTGAGGCTGAACAAC
OXA-23 F	GATGTGTCATAGTATTCGTCG
OXA-23 R	TCACAACAACTAAAAGCACTG
OXA-58-like F	AAGTATTGGGGCTTGTGCTG
OXA-58-like R	CATCACCAGCTTTCATTTGC
OXA-24 F	ATGAAAAATTTATACTTCC
OXA-24 R	TTAAATGATTCCAAGATTTTC
ISAba1 F	AGTTGCACTTGGTCGAATGA
ISAba1 R	CATGTAAACCAATGCTCACC
armA F	TGCATCAAATATGGGGGTCT
armA R	GGATTGAAGCCACAACCAAA
rmtD F	GGAAAAGGACGTGGACA
rmtD R	TCCATCGATTCCACAGG
CTX-M F	CGCTTTGCGATGTGCAG
CTX-M R	ACCGCGATATCGTTGGT
TEM F	GTATCCGCTCATGAGACAATA
TEM R	TCTAAAGTATATATGAGTAAACTTGGTCTG
GES F	ATGCGCTTCATTCACGCAC
GES R	CTATTTGTCCGTGCTCAGGA
mcr F	ACCATGCTCCAAAATGCC
mcr R	ATCCATCACGCCTTTTGAGTC
Intl1 F	GGGTCAAGGATCTGGATTTCG
Intl1 R	ACATGCGTGTAAATCATCGTCG
INF	GGCATCCAAGCAGCAAG
INB	GGGCAGACTTGACCTGAT
Intl2 F	GCGTTTTATGTCTAACAGTCC
Intl2 R	AAGTAGCATCAGTCCATCC
GyrA F	AGGACGAACTCAAGCATTCA
GyrA R	GCCAAACAAGCATTCACAAC
ParC F	CGCTCTGTAGCCGAATTTACT
ParC R	CCATCCCAACAGCAATACCT
<sup>a</sup> Diancourt <i>et al</i> . [2	261

<sup>a</sup> Diancourt *et al.* [26]

# Table 2. Susceptibility profile and antibiotic resistance genes in the XDR IC2 A. baumannii

straigs of this study

IC2 strains	Antimicrobial resistance profile	ARGs
AB85	AMK, GEN, NET, TOB, IPM, MEM, DOR, CAZ, FEP, CTX, CRO, SAM, TZP, TIM, CIP, LEV, SXT, MIN, TGC	intII-aacA4-catB8-aadA1-qacE∆1/sul1; armA; bla <sub>TEM</sub> ; bla <sub>OXA-66</sub> ; ISAba1-bla <sub>OXA-23</sub>
AB91	AMK, GEN, NET, TOB, IPM, MEM, DOR, CAZ, FEP, CTX, CRO, SAM, TZP, TIM, CIP, LEV, SXT, TET, DOX, MIN, TGC	intII-aacA4-catB8-aadA1-qacE∆1/sul1; armA; bla <sub>TEM</sub> ; bla <sub>OXA-66</sub> ; ISAba1-bla <sub>OXA-23</sub>
AB92	AMK, GEN, NET, TOB, IPM, MEM, DOR, CAZ, FEP, CTX, CRO, SAM, TZP, TIM, CIP, LEV, SXT, TET, DOX, MIN, TGC	intII-aacA4-catB8-aadA1-qacE∆1/sul1; armA; bla <sub>TEM</sub> ; bla <sub>OXA-66</sub> ; ISAba1-bla <sub>OXA-23</sub>
AB93	IPM, MEM, DOR, CAZ, FEP, CTX, CRO, SAM, TZP, TIM, CIP, LEV, <u>SXT</u> , TET, DOX, <u>MIN</u>	armA; bla <sub>TEM</sub> ; bla <sub>OXA-66</sub> ; ISAba1-bla <sub>OXA-23</sub>
AB94	AMK, GEN, NET, TOB, IPM, MEM, DOR, CAZ, FEP, CTX, CRO, <u>SAM</u> , TZP, TIM, CIP, LEV, SXT, TET, DOX, MIN, TGC	intI1-aacA4-catB8-aadA1-qacE∆1/sul1; armA; bla <sub>TEM</sub> ; bla <sub>OXA-66</sub> ; ISAba1-bla <sub>OXA-23</sub>
AB105	AMK, GEN, NET, TOB, IPM, MEM, DOR, CAZ, FEP, CTX, CRO, SAM, TZP, TIM, CIP, LEV, SXT, TET, DOX, MIN	intII-aacA4-catB8-aadA1-qacE∆1/sul1; armA; bla <sub>TEM</sub> ; bla <sub>OXA-66</sub> ; ISAba1-bla <sub>OXA-23</sub>
AB106	AMK, GEN, NET, TOB, IPM, MEM, DOR, CAZ, FEP, CTX, CRO, SAM, TZP, TIM, CIP, LEV, SXT, TET, DOX, <u>MIN</u> , <u>TGC</u>	armA; bla <sub>TEM</sub> ; bla <sub>OXA-66</sub> ; ISAba1-bla <sub>OXA-23</sub>
AB110	AMK, GEN, NET, TOB, IPM, MEM, DOR, CAZ, FEP, CTX, CRO, SAM, TZP, TIM, CIP, LEV, SXT, TET, DOX, MIN, TGC	intI1-aacA4-catB8-aadA1-qacE∆1/sul1; armA; bla <sub>TEM</sub> ; bla <sub>OXA-66</sub> ; ISAba1-bla <sub>OXA-23</sub>

AB111	AMK, GEN, NET, TOB, IPM, MEM, DOR, CAZ, FEP, CTX, CRO, SAM, TZP, TIM, CIP, <u>LEV</u> , SXT, TET, DOX, <u>TGC</u>	intII-aacA4-catB8-aadA1-qacE∆1/sul1; armA; bla <sub>TEM</sub> ; bla <sub>OXA-66</sub> ; ISAba1-bla <sub>OXA-23</sub>
AB112	AMK, GEN, NET, TOB, IPM, MEM, DOR, CAZ, FEP, CTX, CRO, SAM, TZP, TIM, CIP, LEV, SXT, TET, DOX, <u>MIN</u>	intII-aacA4-catB8-aadA1-qacE∆1/sul1; armA; bla <sub>TEM</sub> ; bla <sub>OXA-66</sub> ; ISAba1-bla <sub>OXA-23</sub>
AB113	AMK, GEN, NET, TOB, IPM, MEM, DOR, CAZ, FEP, CTX, CRO, SAM, TZP, TIM, CIP, LEV, SXT, TET, DOX, <u>MIN</u>	armA; bla <sub>TEM</sub> ; bla <sub>OXA-66</sub> ; ISAba1-bla <sub>OXA-23</sub>
AB114	AMK, GEN, NET, TOB, IPM, MEM, DOR, CAZ, FEP, CTX, CRO, SAM, TZP, TIM, CIP, LEV, SXT, TET, DOX, TGC	intI1-aacA4-catB8-aadA1-qacE∆1/sul1; armA; bla <sub>TEM</sub> ; bla <sub>OXA-66</sub> ; ISAba1-bla <sub>OXA-23</sub>
AB115	AMK, GEN, NET, TOB, IPM, MEM, DOR, CAZ, FEP, CTX, CRO, SAM, TZP, TIM, CIP, <u>LEV</u> , SXT, TET, <u>DOX</u> , <u>TGC</u>	intII-aacA4-catB8-aadA1-qacE∆1/sul1; armA; bla <sub>TEM</sub> ; bla <sub>OXA-66</sub> ; ISAba1-bla <sub>OXA-23</sub>
AB116	AMK, GEN, NET, TOB, IPM, MEM, DOR, CAZ, FEP, CTX, CRO, SAM, TZP, TIM, CIP, LEV, SXT, TET, DOX, MIN	intII-aacA4-catB8-aadA1-qacE∆1/sul1; armA; bla <sub>TEM</sub> ; bla <sub>OXA-66</sub> ; ISAba1-bla <sub>OXA-23</sub>
AB117	AMK, GEN, NET, TOB, IPM, MEM, DOR, CAZ, FEP, CTX, CRO, SAM, TZP, TIM, CIP, LEV, SXT, TET, DOX, MIN	intII-aacA4-catB8-aadA1-qacE∆1/sul1; armA; bla <sub>TEM</sub> ; bla <sub>OXA-66</sub> ; ISAba1-bla <sub>OXA-23</sub>
AB118	AMK, GEN, NET, TOB, IPM, MEM, DOR, CAZ, FEP, CTX, CRO, SAM, TZP, TIM, CIP, LEV, SXT, TET, DOX, <u>MIN</u> , TGC	intII-aacA4-catB8-aadA1-qacE∆1/sul1; armA; bla <sub>TEM</sub> ; bla <sub>OXA-66</sub> ; ISAba1-bla <sub>OXA-23</sub>
287 I	ntermediate resistance are underlined	

287 Intermediate resistance are underlined

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