

1 **Emergence of *Mycobacterium leprae* rifampicin resistance evaluated by whole-**
2 **genome sequencing after 48 years of irregular treatment**

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26 ABSTRACT

27

28 A case of *M. leprae* rifampicin resistance after irregular anti-leprosy treatments since
29 1971 is reported. Whole-genome sequencing from four longitudinal samples
30 indicated relapse due to acquired rifampicin resistance and not to reinfection with
31 another strain. A putative compensatory mutation in *rpoC* was also detected. Clinical
32 improvement was achieved using an alternative therapy.

33

34 **Short Form paper**

35 A sixty-four year-old male, born and residing in Rio de Janeiro, Brazil, presented to
36 the National Leprosy Reference Center FIOCRUZ clinic in November 2015 with
37 several nodules and infiltrated plaques spread all over the body, and with swollen
38 hands and feet consistent with lepromatous leprosy (1). The patient was initially
39 diagnosed in 1971 with the lepromatous form of the disease (**Figure 1A**) showing
40 diffuse infiltration, mainly affecting the ear lobes, a collapsed nose and a positive
41 bacillary index (BI) in slit skin smears. After two months of dapson monotherapy, he
42 abandoned treatment. Later, in March 1975, he presented with a similar clinical
43 condition. He restarted 100 mg dapson until February 1977. In October 1978, signs
44 and symptoms were unchanged. At that time, the Brazilian Ministry of Health (MoH)
45 recommended dapson treatment until the clearance of the bacilli. But the treatment
46 was not improving his condition, so the patient received three months of 600mg of
47 rifampicin and 100mg of dapson, followed by 100mg of dapson until bacterial
48 clearance. However, he again abandoned dapson treatment. In July 1985, he
49 returned presenting with several lepromas and diffuse infiltration. He received 600mg
50 of rifampicin for three months in combination with dapson and then dapson until

51 November 1990 when treatment was suspended following a negative BI. In October
52 1998, he presented with disseminated papular-nodular lesions, hypochromic
53 macules and madarosis. Histopathological findings were compatible with
54 lepromatous leprosy, revealing dermal histiocyte infiltration with Virchow cells and a
55 BI of 3+. He took three doses of multidrug therapy (MDT), but abandoned treatment
56 once again. In November 2002, his clinical conditions had worsened with diffuse
57 infiltration, hypochromic macules, erythematous plaques, nodules and edema of the
58 lower limbs. An archived skin biopsy from 2002 confirmed leprosy diagnosis
59 exhibiting lymphocytic infiltrate, and macrophages containing abundant granular and
60 foamy cytoplasm consistent with subpolar lepromatous leprosy (**Figure 1B**). The
61 Wade staining (a modified Ziehl-Neelsen stain), showed a high 4.7+ logarithmic
62 index of bacilli in biopsies (LIB).

63

64 MDT was restarted and again abandoned after eight supervised doses when the BI
65 was 1.33+. He had an episode of *erythema nodosum leprosum* during this course of
66 treatment in August 2003, confirmed by histopathology. He returned in November
67 2013 with leonine facies, ptosis, spread lepromas, swollen lower and upper limbs,
68 plus neural pain affecting both legs. The BI was 3+ and no improvement was
69 observed after 12 doses of MDT with a BI of 4.25+, suggesting treatment failure (2).
70 Active disease was suspected as evidenced by abundant infiltrate of lymphocytes in
71 a biopsy from a skin lesion at the end of the treatment in 2014. Wade staining
72 revealed an increased density of granular materials and intact acid-fast bacilli (AFB),
73 occasionally arranged in globi (**Figure 1C**) with increasing LIB of 6+.

74

75 He was then referred to our clinic, the National Leprosy Reference Center at
76 FIOCRUZ in Rio de Janeiro, in November 2015 with no improvement in clinical
77 conditions. Other parameters (temperature, hemodynamic, etc.) were normal and the
78 Mitsuda test was negative. Serology for HIV and hepatitis B and C were negative.
79 The patient received an additional 12 months of fixed MDT as recommended by the
80 MoH regulations assuming treatment failure. After extended treatment (24-doses
81 MDT, in November 2016), skin biopsies presented similar inflammatory infiltrates
82 and Wade staining revealed the presence of AFB with LIB: 1+ (**Figure 1D**). Analysis
83 of slit skin smears gave a BI of 2.5+. The clinical assessment showed diffuse
84 infiltration, madarosis, ptosis, swollen hands and feet, with nodules and infiltrated
85 plaques all over the body (**Figures 1E and 1F**), grade 1 disability and drug
86 resistance was suspected (3). Molecular drug susceptibility testing was performed by
87 PCR–sequencing on the DNA extracted from the skin biopsy sample, collected in
88 2016, as previously described (3). Drug resistance-associated Single Nucleotide
89 Polymorphisms (SNPs) were not detected in *folP1* (dapsone) and *gyrA* (ofloxacin),
90 but a mutation at codon 456 (Ser456Leu) of *rpoB*, known to cause resistance to
91 rifampicin in *M. leprae*, was identified (4, 5).

92 We recovered archived skin from four biopsies taken in 2002, 2003, 2014 and
93 2016 and submitted them to enzymatic host tissue and host DNA digestion, followed
94 by enrichment of bacilli and whole genome sequencing on Illumina HiSeq and
95 NextSeq instruments as described elsewhere (6). The read coverage ranged from
96 13.4 to 44.4X (**Table S1**) and was sufficient for comparative analysis at the single
97 nucleotide level. All four isolates are SNP 4N genotypes and have nearly identical
98 genomes, differing by only a few polymorphisms (**Table S2**). The isolate from 2002
99 differed from the three subsequent isolates by two SNPs, one in the intergenic region

100 between *ml2392* (unknown function) and *greA* (a transcription elongation factor,
101 Supplementary Table 2), and another in *rpoC* (discussed below). Given the near
102 identity between the strain from 2002 and the other isolates, and the presence of the
103 same *rpoB* mutation in all cases, reinfection with a different strain seemed unlikely,
104 especially considering that the second biopsy was from a type 1 reaction episode
105 that occurred less than one year after the 2002 sample. Therefore, these two SNP
106 differences likely reflect the intra-patient variation of the primary strain that occurred
107 in the lesion from where the biopsy was taken. The non-synonymous SNP in *rpoC*
108 (L527V) was detected from 2003 onward. Curiously, this mutation has been
109 described in *M. tuberculosis* rifampicin-resistant strains but was felt to be unlikely to
110 correspond to a compensatory mutation impacting fitness (7). Nevertheless,
111 considering the chronology and the circumstances of the appearance of this
112 mutation here, a compensatory effect appears probable in *M. leprae*. Three
113 additional indel loci were polymorphic between all the isolates, occurring either in
114 homopolymeric tracts or in Variable Number Tandem Repeats (**Table S2**), which
115 tend to be polymorphic (8).

116 Confirmation of drug resistance led to the prescription of an alternative
117 treatment. However, soon thereafter, the patient was diagnosed with larynx cancer
118 and leprosy treatment was suspended until cancer chemotherapy was completed. At
119 the time of treatment resumption in 2017, the smear test was BI: 2.25 + when
120 compared to BI: 2.5 + performed at the end of the previous MDT. A final histological
121 Wade staining indicated fragmented and intact AFB arranged in globi with higher LIB:
122 3.6+ (**Figure 1G**). From July 2017, the patient was treated with an alternative 2-year
123 regimen replacing rifampicin (9) with daily clofazimine, 50mg; daily ofloxacin 400mg;
124 and daily minocycline 100mg for 6 months; followed by daily clofazimine 50mg and

125 daily ofloxacin 400mg for 18 months. He has recovered well with reduction in
126 infiltrates, BI and lesions (**Figures 1H and 1I**). On release from treatment (RFT) on
127 June 2019, BI was 0.75 and LIB was 1+. The patient is still under observation
128 returning every 6 months for re-evaluation to our clinic.

129 Due to its bactericidal action, rifampicin forms the backbone of MDT and
130 treatment efficacy is seriously compromised when rifampicin must be replaced [9]. In
131 our case, the combination of clofazimine, ofloxacin, and minocycline proved efficient
132 after 24 months of treatment. After this alternative treatment, the patient has
133 improved, although continuous observation of him and his household contacts is
134 necessary as, in special cases, leprosy merits long term attention from health care
135 providers.

136 Rifampicin resistance mostly occurs during irregular therapy [4-5,9-10]. Many
137 factors are responsible for interruption of treatment in Brazil: socioeconomic
138 difficulties, poor education, lack of knowledge about the disease, and inefficiency of
139 health services, among others (10). Primary transmission of rifampicin-resistant
140 strains also occurs in Brazil (11–13), although there is no evidence of it in the state
141 of Rio de Janeiro (3). The long series of abandonment and retreatment of the case
142 reported here delayed proper care and likely caused the emergence of drug-resistant
143 *M. leprae* that led to the relapse in 1998. Emergence of the putative compensatory
144 mutation in *rpoC* is also a cause of concern since this can increase the fitness of the
145 drug-resistant bacteria and contribute to their spread in the population. The
146 emergence of resistance warrants intensifying the efforts of the WHO sentinel
147 network, which has already reported disturbing resistance trends that need following
148 up (12). Therefore, educating and monitoring patients once a year after treatment

149 should be common practice because it can provide an early alarm signal for relapse

150 that will need special care [9].

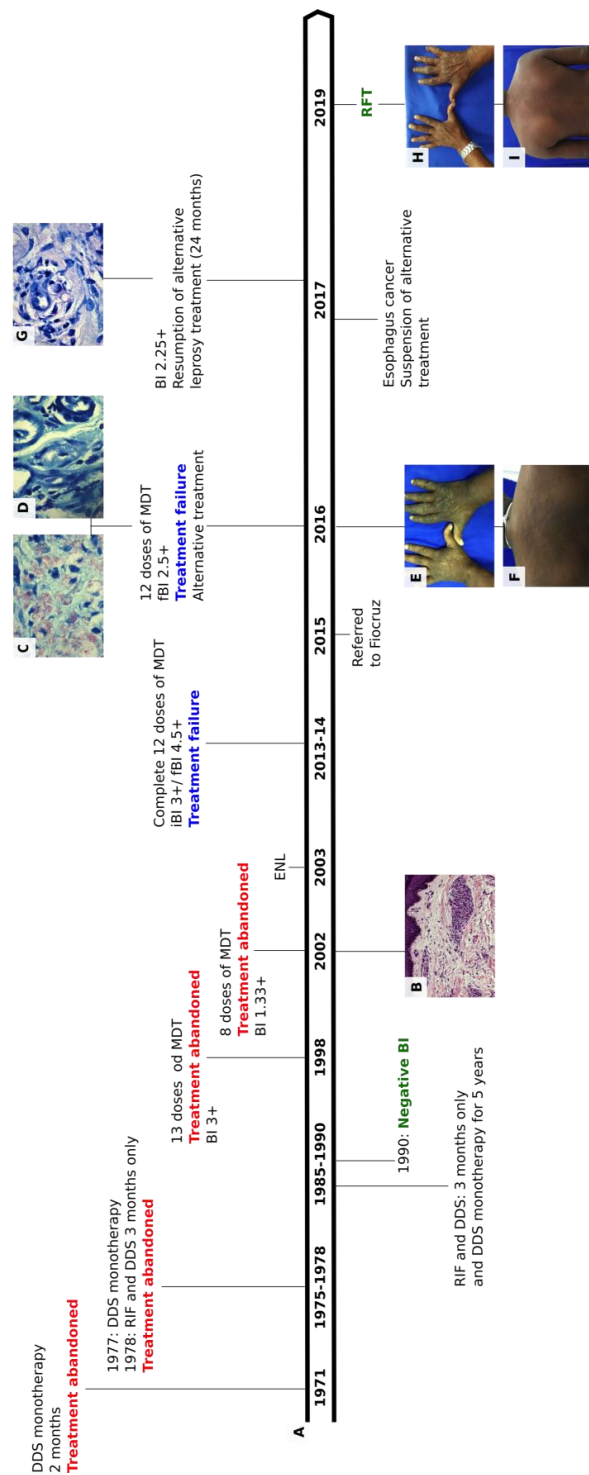
151

152 **Figure Legend**

153

154 **Figure 1** Information collected from medical records and observation of a relapsed

155 leprosy patient with irregular treatment during 48 years **A-** Timeline of the patient's



156 abandonment and retreatment cycles during 48 years. Treatment abandonment,
157 treatment failure and positive disease outcome are shown in red, blue and green,
158 respectively. BI=bacillary index, DDS=dapsone, ENL=*erythema nodosum leprosum*,
159 fBI=final BI after treatment, iBI=initial BI at treatment implementation, MDT=multidrug
160 therapy, RFT=release from treatment, RIF=rifampicin, *date for which whole-genome
161 sequence is available. **B-D, G** Histological analysis of retrieved skin biopsies
162 consistent with leprosy diagnosis from 2002-2017. **B-** Superficial and deep
163 perivascular, periadnexal and perineural lymphohistiocytic infiltrate. Macrophages
164 containing abundant granular to foamy cytoplasm compatible with subpolar
165 lepromatous leprosy, HE-20X (2002). **C-** High density of granular and intact
166 AFB, occasionally arranged in globi, LIB: 6+, Wade-100X (2015). **D-** Presence of a
167 few granular and intact AFB after 12 MDT doses, LIB:1+, Wade-100X (2016). **G-**
168 Fragmented and intact AFB arranged in globi, LIB: 3.6+, Wade- 100X (2017).
169 **E,F,G,I-** Clinical features of the patient at the resistance confirmation in 2016 and
170 after 24 months of treatment (2019). Male, 64 y/o, born and residing in Rio de
171 Janeiro, Brazil. Pictures showing the patient hands (**E**) and back (**F**) presented to the
172 FIOCRUZ clinic in November 2016 with several nodules and infiltrated plaques
173 spread all over the body, edematous hands and feet, besides increasing paresthesia
174 of upper and lower limbs consistent with lepromatous form of the disease. Release
175 from treatment in 2019 with complete remission of symptoms in the hands (**H**) and
176 back (**I**). ^aNomenclature used as described by Groathouse et al.

177

178 **AUTHOR CONTRIBUTION**

179 Study design: MOM, STC, ENS

180 Patient diagnosis and patient follow-up: RCM, AMS, JAN

181 Sample collection: RCM, ROP
182 Histological analysis and microscopy: TPS, SJM, ENS, AM
183 PCR and Sanger sequencing: AFM FNM PS
184 Library preparation: CA, PB
185 Computational analysis: AB, CA
186 Formal analysis: MOM, CA
187 Manuscript draft: MOM, CA
188 All authors discussed the results and commented on the manuscript
189

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205

206 **CONFLICT OF INTEREST**

207 The authors declare that the submitted work was not subject to any personal,
208 professional or financial relationships that could potentially be construed as a conflict
209 of interest.

210

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258 drug/multidrug-resistant Mycobacterium leprae in a former leprosy colony in the
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261 **DATA AVAILABILITY**

262 Sequence data are available from the NCBI Sequence Read Archive (SRA) under
263 the bioproject PRJNA601236, biosamples SAMN13864306 to SAMN13864309

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