

1 **Title:** Dissemination of non-pandemic Caribbean HIV-1 subtype B clades in Latin America

2

3 **Short title:** HIV-1 subtype B epidemic in Latin America

4

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29 **ABSTRACT**

30 **Objective:** To estimate the prevalence of the HIV-1 subtype B pandemic ( $B_{\text{PANDEMIC}}$ ) and  
31 Caribbean ( $B_{\text{CAR}}$ ) clades in Latin America and to reconstruct the spatiotemporal dynamics  
32 of dissemination of the  $B_{\text{CAR}}$  clades in the region.

33 **Design:** A total of 7,654 HIV-1 subtype B *pol* sequences collected from 18 different Latin  
34 American countries between 1989 and 2011 were analyzed together with subtype B  
35 reference sequences representative of the  $B_{\text{PANDEMIC}}$  (US/France = 300) and the  $B_{\text{CAR}}$   
36 (Caribbean = 279, Panama = 37) clades.

37 **Methods:** Phylogeographic and evolutionary parameters were estimated from sequence  
38 data using Maximum Likelihood and Bayesian coalescent-based methods.

39 **Results:** Non-pandemic  $B_{\text{CAR}}$  strains were probably disseminated from the Caribbean  
40 islands of Hispaniola and Trinidad and Tobago into Latin America since the early 1970s.  
41 The  $B_{\text{CAR}}$  strains reached nearly all countries from Latin America here analyzed and in  
42 some of them were spread locally, although their overall prevalence in the region is low.  
43 The  $B_{\text{PANDEMIC}}$  clade comprises >90% of subtype B infections in most countries analyzed,  
44 with exception of Suriname, French Guyana and probably Guyana, where both  $B_{\text{PANDEMIC}}$   
45 and  $B_{\text{CAR}}$  clades seem to circulate at a similar prevalence.

46 **Conclusions:** This study demonstrates that non-pandemic subtype B lineages of Caribbean  
47 origin have been disseminated into Latin America shortly after the estimated introduction  
48 of subtype B in the continent. Despite their early dissemination, the  $B_{\text{CAR}}$  strains account  
49 for a minor fraction of current HIV-1 subtype B infections in the region that are mainly  
50 driven by spreading of the globally disseminated  $B_{\text{PANDEMIC}}$  clade.

51

52 **Keywords:** HIV-1; subtype B; non-pandemic; phylogeography; Latin America.

## 53 INTRODUCTION

54 An estimate of 1.5 million people were living with the Human Immunodeficiency Virus  
55 Type 1 (HIV-1) in Latin America at 2012, most of them concentrated in Brazil (40%),  
56 Mexico (11%), Colombia (10%), Venezuela (7%) and Argentina (6.5%) [1]. The HIV  
57 prevalence in the adult population (15-49 years) ranges from 0.2% in Mexico to >1.0% in  
58 Belize, Guyana and Suriname [1]. Most of the HIV epidemics in this region are  
59 concentrated in and around networks of men who have sex with men (MSM), although  
60 heterosexual HIV transmission is increasing in the older epidemics in South America and  
61 injecting drug use is another significant route of HIV transmission especially in the  
62 southern cone of South America and in Mexico [2].

63 The HIV-1 group M subtype B is the most prevalent clade in Latin America, accounting for  
64 about 70% of infections in the region [3]. The spread of HIV-1 subtype B in the Americas  
65 probably occurred via a single introduction event from Central Africa into Haiti around the  
66 middle 1960s and later dissemination of the virus from Haiti to other Caribbean islands and  
67 to the United States (US) [4]. The virus that entered the US was further disseminated from  
68 this country to other countries around the world, establishing a “B<sub>PANDEMIC</sub>” clade, whereas  
69 other subtype B lineages seem to have remained mostly restricted to the Caribbean (“B<sub>CAR</sub>”  
70 clades) [4]. A recent study conducted by our group analyzed 1,042 HIV-1 subtype B *pol*  
71 gene sequences from 14 different Caribbean countries and revealed that non-pandemic  
72 B<sub>CAR</sub> lineages have been widely disseminated through the Caribbean region since the late  
73 1960s, accounting for an important fraction of current HIV-1 infections in several countries  
74 including Haiti and the Dominican Republic (~75%), Jamaica (~50%) Trinidad and Tobago  
75 (~95%) and other Lesser Antilles (~40-75%) [5].

76 Two previous studies suggest that non-pandemic B<sub>CAR</sub> lineages may have been also directly  
77 disseminated from the Caribbean islands into South [6] and Central [7] American countries.  
78 The study of Junqueira *et al* (2011) identified a few HIV-1 subtype B *pol* sequences from  
79 Brazil, Colombia, Guyana, Suriname and Venezuela that were phylogenetically intermixed  
80 among basal non-pandemic Caribbean sequences, suggesting a direct epidemiological link  
81 between the Caribbean and South American epidemics. Another recent study showed that a  
82 minor fraction (5.5%) of Panamanian subtype B *pol* sequences were also intermixed among  
83 non-pandemic B<sub>CAR</sub> strains and further suggests that some of those B<sub>CAR</sub> clades were  
84 mainly disseminated in Panama by heterosexual transmission [7]. Overall, these results  
85 suggest that the B<sub>CAR</sub> clades have not remained confined to the Caribbean region; but have  
86 been also disseminated to continental regions of the Americas. The relative prevalence of  
87 the B<sub>PANDEMIC</sub> and B<sub>CAR</sub> clades across different Latin American countries, however,  
88 remains largely unknown.

89 The objective of this study was to estimate the current prevalence of the B<sub>PANDEMIC</sub> and  
90 B<sub>CAR</sub> clades in Latin America and to reconstruct the spatiotemporal dynamics of  
91 dissemination of the HIV-1 B<sub>CAR</sub> clades in the region. For this, we used a comprehensive  
92 dataset of HIV-1 subtype B *pol* sequences ( $n = 7,654$ ) isolated from 18 different Latin  
93 American countries between 1989 and 2011. These Latin American sequences were  
94 combined with subtype B reference sequences representative of the B<sub>PANDEMIC</sub> (US/France  
95 = 300) and the B<sub>CAR</sub> (Caribbean/Panama = 316) clades and then subjected to Maximum  
96 Likelihood and Bayesian phylogeographic analyses.

97

98 **METHODS**

99 **HIV-1 subtype B *pol* sequence dataset.** We downloaded all HIV-1 subtype B *pol*  
100 sequences from Latin America that covered the entire protease and partial reverse  
101 transcriptase (PR/RT) regions (nucleotides 2253–3260 relative to HXB2 clone) and were  
102 available at the Los Alamos HIV Database (<http://www.hiv.lanl.gov>) by December 2013.  
103 Additional HIV-1 subtype B *pol* sequences from Latin America covering only part of the  
104 RT (nucleotides 2673–3203 relative to the HXB2 clone) were also downloaded for some  
105 countries with few PR/RT sequences available (Bolivia, Suriname and French Guyana).  
106 The subtype assignment of all sequences included here was confirmed using the REGA  
107 HIV subtyping tool v.2 [8] and by performing phylogenetic analyses (see below) with HIV-  
108 1 group M subtype reference sequences. Only one sequence per subject was selected and  
109 those sequences containing frameshift mutations or with incorrect subtype assignment were  
110 removed. This resulted in a final data set of 7,654 subtype B *pol* sequences isolated from 18  
111 Latin American countries between 1989 and 2011. These sequences were aligned with  
112 subtype B *pol* (PR/RT) sequences from the US ( $n = 165$ ), France ( $n = 135$ ), the Caribbean  
113 ( $n = 279$ ) and Panama ( $n = 37$ ), representative of the B<sub>PANDEMIC</sub> and the B<sub>CAR</sub> clades as  
114 described previously [5, 7]. Sequences were aligned using the Clustal W program [9] and  
115 all sites associated with major antiretroviral drug resistance in PR (30, 32, 46, 47, 48, 50,  
116 54, 76, 82, 84, 88 and 90) and RT (41, 65, 67, 69, 70, 74, 100, 101, 103, 106, 115, 138, 151,  
117 181, 184, 188, 190, 210, 215, 219 and 230) were excluded. All alignments are available  
118 from the authors upon request.

119 **Phylogenetic analysis.** Maximum Likelihood (ML) phylogenetic trees were inferred under  
120 the GTR+I+ $\Gamma$  nucleotide substitution model selected using the jModeltest program [10].  
121 The ML trees were reconstructed with the PhyML program [11] using an online web server

122 [12]. Heuristic tree search was performed using the SPR branch-swapping algorithm and  
123 the reliability of the obtained topology was estimated with the approximate likelihood-ratio  
124 test (*aLRT*) [13] based on the Shimodaira-Hasegawa-like procedure. The ML trees were  
125 visualized using the FigTree v1.4.0 program [14].

126 **Analysis of the spatiotemporal dispersion pattern.** The evolutionary rate, the age of the  
127 most recent common ancestor ( $T_{\text{MRCA}}$ ) and the spatial diffusion pattern of non-pandemic  
128 HIV-1 subtype B clades circulating in South America were jointly estimated using the  
129 Bayesian Markov Chain Monte Carlo (MCMC) approach as implemented in BEAST v1.8  
130 [15-16] with BEAGLE to improve run-time [17]. Analyses were performed using the  
131 GTR+I+ $\Gamma_4$  nucleotide substitution model, a relaxed uncorrelated lognormal molecular  
132 clock model [18], and a Bayesian Skyline coalescent tree prior [19]. The mean evolutionary  
133 rates previously estimated for the subtype B *pol* gene ( $2.0\text{-}2.5 \times 10^{-3}$  subst./site/year) [7, 20-  
134 22] were incorporated as an informative prior interval. Migration events throughout the  
135 phylogenetic history and the most relevant migration pathways were reconstructed using a  
136 reversible discrete phylogeography model and the Bayesian stochastic search variable  
137 selection (BSSVS) approach [23], with a CTMC rate reference prior [24]. Three MCMC  
138 chains were run for  $500 \times 10^6$  generations and then combined using LogCombiner v1.8.  
139 Convergence and uncertainty of parameter estimates were assessed by calculating the  
140 Effective Sample Size (ESS) and 95% Highest Probability Density (HPD) values,  
141 respectively, after excluding the initial 10% of each run with Tracer v1.6 [25]. The  
142 maximum clade credibility (MCC) tree was summarized with TreeAnnotator v1.8 and  
143 visualized with FigTree v1.4.0. Migratory events were summarized using the cross-  
144 platform SPREAD application [26].

145

146 **RESULTS**

147 **Detection of HIV-1 B<sub>CAR</sub> clades in the majority of Latin American countries.**

148 In order to estimate the relative prevalence of pandemic (B<sub>PANDEMIC</sub>) and non-pandemic  
149 (B<sub>CAR</sub>) subtype B lineages in Latin America, *pol* (PR/RT) sequences from different Latin  
150 American countries were divided into six subsets: Central America ( $n = 688$ ), Mexico ( $n =$   
151  $1,677$ ), Argentina ( $n = 1,548$ ), Brazil-I ( $n = 1,329$ ), Brazil-II ( $n = 1,329$ ), and other South  
152 American countries ( $n = 909$ ). A seventh subset containing shorter subtype B *pol* (RT)  
153 sequences from some Latin American countries poorly represented in the PR/RT dataset  
154 (Bolivia = 45, French Guyana = 108, Suriname = 21) was also constructed. Each of the  
155 seven Latin American subsets was combined with a reference subtype B dataset selected  
156 from a previous study [5] containing 500 sequences representative of the B<sub>PANDEMIC</sub>  
157 (US/France = 300) and the B<sub>CAR</sub> (Caribbean = 200) clades (Table S1). The ML analyses of  
158 all PR/RT (Fig. 1A and Fig. S1) and RT (Fig. 1B) subsets confirmed the complete  
159 segregation of the B<sub>PANDEMIC</sub> reference sequences in a highly supported ( $aLRT > 0.90$ )  
160 monophyletic clade nested within basal B<sub>CAR</sub> reference sequences. The ML analyses also  
161 confirmed the circulation of B<sub>CAR</sub> sequences in most Latin American countries, although  
162 with highly variable prevalence (Fig. 2 and Table S2). The B<sub>CAR</sub> sequences reach a high  
163 prevalence (40-50%) in French Guyana and Suriname; low prevalence (1-10%) in Brazil,  
164 Colombia, Ecuador, Mexico, Panama and Venezuela; and very low prevalence (<1%) in  
165 Argentina, El Salvador, Honduras and Peru. We found no evidence of circulation of B<sub>CAR</sub>  
166 clades in Bolivia and Chile. The number of PR/RT or RT sequences from Belize, Costa  
167 Rica, Guatemala, Guyana, Nicaragua, Paraguay and Uruguay was too small ( $n < 10$ ) to  
168 allow any conclusion about the relative prevalence of different subtype B clades circulating  
169 in those Latin America countries.

170 **Spatiotemporal dispersal pattern of the HIV-1 B<sub>CAR</sub> clades in Latin America.**

171 To reconstruct the origin and spatiotemporal dynamics of non-pandemic subtype B Latin  
172 American lineages, the HIV-1 B<sub>CAR</sub> PR/RT sequences with known sampling date from  
173 Latin America here identified ( $n = 103$ ) were combined with B<sub>CAR</sub> PR/RT sequences from  
174 the most widely sampled ( $n > 10$ ) Caribbean islands (Dominican Republic [ $n = 123$ ],  
175 Jamaica [ $n = 73$ ], Trinidad and Tobago [ $n = 50$ ], and Haiti [ $n = 12$ ]) and from Panama ( $n =$   
176 37), previously identified [5, 7]. The B<sub>CAR</sub> sequences were further aligned with subtype D  
177 PR/RT sequences ( $n = 10$ ) from the Democratic Republic of Congo (DRC) that was pointed  
178 as the most probable source of subtype B strain introduced in the Americas [4]. HIV-1  
179 subtypes B and D sequences were classified into 14 discrete geographic locations (Table  
180 S3) and subjected to Bayesian phylogeographic analysis.

181 The mean estimated evolutionary rate of the HIV-1 B<sub>CAR</sub>/D *pol* dataset was  $2.1 \times 10^{-3}$   
182 substitutions/site per year (95% HPD  $2.0 \times 10^{-3} - 2.2 \times 10^{-3}$  substitutions/site per year),  
183 whereas the corresponding median coefficient of rate variation was 0.31 (95% HPD: 0.27 –  
184 0.35), supporting the selection of a relaxed molecular clock model. The root location of the  
185 HIV-1 subtype B ancestor was most probably placed in the island of Hispaniola  
186 (Dominican Republic/Haiti) (posterior state probability [*PSP*] = 0.92) (Fig. 3), consistent  
187 with previous findings [4-5]. The median estimated  $T_{MRCA}$  of subtypes B/D (1956), subtype  
188 D (1968) and subtype B (1968) were also very similar to that previously obtained using  
189 different *pol* and *env* datasets [4-5] (Table 1). The close match of major spatiotemporal  
190 calibration points across different studies validates the time-scale inferred from this  
191 analysis and indicates that the overall phylogeographic reconstruction was quite robust to  
192 the inclusion of new B<sub>CAR</sub> sequences from Latin America.



193 After the introduction of HIV-1 subtype B into Hispaniola around the middle 1960s, non-  
194 pandemic B<sub>CAR</sub> lineages were independently disseminated to other countries from the  
195 Caribbean and Latin America from the early 1970s onwards. Some of those viral  
196 migrations seeded secondary outbreaks that resulted in the origin of several country-  
197 specific B<sub>CAR</sub> subclades including those previously identified in Trinidad and Tobago  
198 (B<sub>CAR-TT</sub>) [4-5], Jamaica (B<sub>CAR-JM-I</sub>) [5] and Panama (B<sub>CAR-PA-I</sub>, B<sub>CAR-PA-II</sub> and B<sub>CAR-PA-III</sub>)  
199 [7], and others here identified in Argentina (B<sub>CAR-AR</sub>), Brazil (B<sub>CAR-BR-I</sub>, B<sub>CAR-BR-II</sub> and  
200 B<sub>CAR-BR-III</sub>), Guyana (B<sub>CAR-GY</sub>), Mexico (B<sub>CAR-MX-I</sub>, and B<sub>CAR-MX-II</sub>) and Venezuela (B<sub>CAR-VE</sub>)  
201 (Fig. 3). The non-pandemic clades B<sub>CAR-TT</sub>, B<sub>CAR-JM-I</sub> and B<sub>CAR-BR-I</sub> seem to have originated  
202 around the early 1970s, whereas most of the remaining country-specific B<sub>CAR</sub> clades  
203 probably arose between the late 1970s and the middle 1980s (Fig. 3 and Table 1).

204 Reconstruction of viral migrations across time suggests that Hispaniola was the major hub  
205 of dissemination of non-pandemic subtype B clades in the region and further identified a  
206 few secondary hubs in the Caribbean (Trinidad and Tobago) and South America (Brazil  
207 and Guyana) (Figs. 4A and 4B). The B<sub>CAR-TT</sub> clade was independently disseminated from  
208 Trinidad and Tobago to other Caribbean islands and to several South American countries  
209 including Brazil, Guyana (originating the B<sub>CAR-GY</sub> clade), Suriname and Venezuela. The  
210 B<sub>CAR-GY</sub> clade was disseminated from Guyana to Suriname and the B<sub>CAR-BR-I</sub> clade was  
211 disseminated from Brazil to Argentina at multiple times (originating the B<sub>CAR-AR</sub> clade).

212 The Bayes factor tests for significant nonzero rates supports epidemiological linkage  
213 between Hispaniola and most other Caribbean and Latin American countries included in the  
214 study (with exception of Argentina and Guyana) as well as between Trinidad and Tobago  
215 and Jamaica/Guyana/Brazil, between Brazil and Argentina, and between Guyana and  
216 Suriname (Figs. 4C and 4D and Table S4).

217 **DISCUSSION**

218 The HIV-1 subtype B virus was probably originally introduced into Haiti seeded by the  
219 epidemic from the DRC around the middle 1960s [4]. After a short period of local  
220 expansion within the island of Hispaniola (shared by Haiti and the Dominican Republic),  
221 the virus seems to have moved out on several independent occasions. The introduction of  
222 the virus into the US around the late 1960s explosively amplified the number of new cases  
223 of HIV-1 subtype B infection and originates a B<sub>PANDEMIC</sub> strain that was disseminated  
224 across the world [4]. Other secondary outbreaks simultaneously emerged in the Caribbean  
225 [5] and Latin America [6-7] as the result of short-distance disseminations of non-pandemic  
226 B<sub>CAR</sub> strains out of Hispaniola. This study demonstrates that B<sub>CAR</sub> strains reached nearly all  
227 countries in Latin America, although their prevalence is usually much lower than that  
228 estimated for the B<sub>PANDEMIC</sub> clade (Fig. 2). The only exceptions in the region were  
229 Suriname, French Guyana and probably Guyana, where both B<sub>PANDEMIC</sub> and B<sub>CAR</sub> clades  
230 seem to circulate at roughly similar prevalence.

231 Our results indicate that Haiti and Dominican Republic, that together are home to about  
232 75% of people living with HIV in the Caribbean [27], were probably the major sources of  
233 B<sub>CAR</sub> lineages disseminated into the region. Non-pandemic B<sub>CAR</sub> strains started to spread  
234 from Hispaniola in the beginning of the 1970s and would have reached Trinidad and  
235 Tobago, Jamaica, Brazil, Colombia, Ecuador, El Salvador, Honduras, Mexico, Panama,  
236 Suriname and Venezuela in the following years. Trinidad and Tobago can be viewed as a  
237 secondary hub, seeding tertiary B<sub>CAR</sub> outbreaks in short-distanced countries such as  
238 Jamaica, Venezuela, Guyana and Brazil. Jamaica, by contrast, seems to have played a  
239 minor role in the regional dispersion of B<sub>CAR</sub> strains. We also identified short-distance  
240 spreading of B<sub>CAR</sub> lineages from Brazil to Argentina and from Guyana to Suriname,

241 indicating that some South American countries also acted as secondary hubs of  
242 dissemination of non-pandemic subtype B lineages in the region.

243 Although Dominican Republic, Haiti and Trinidad and Tobago were pointed as the most  
244 important sources of B<sub>CAR</sub> lineages disseminated to Latin America, we can not ruled out the  
245 possible role of other Caribbean islands with high prevalence of B<sub>CAR</sub> strains such as  
246 Martinique, Guadeloupe and other Lesser Antilles [5] not included in our phylogeographic  
247 analysis because the very low numbers ( $n < 10$ ) of PR/RT sequences available. This  
248 geographical sampling bias may have resulted in an overestimation of the role of  
249 Dominican Republic, Haiti and Trinidad and Tobago as source of B<sub>CAR</sub> lineages in the  
250 region. The use of more geographically balanced HIV-1 subtype B Caribbean datasets will  
251 be of paramount importance to obtain more precise estimates of the contribution of each  
252 Caribbean island in the regional dissemination of non-pandemic subtype B strains.

253 Several country-specific B<sub>CAR</sub> clades were detected in Argentina, Brazil, Guyana, Mexico,  
254 Panama and Venezuela, suggesting that despite their overall low prevalence, non-pandemic  
255 subtype B lineages have been disseminated locally in several Latin American countries.  
256 Estimation of the T<sub>MRCA</sub> of those country-specific B<sub>CAR</sub> clades further suggests that B<sub>CAR</sub>  
257 lineages started to be disseminated from the Caribbean into Latin America between the  
258 early 1970s and the early 1980s. This time-scale coincides with the global dissemination of  
259 the B<sub>PANDEMIC</sub> clade from the US [4] and with the estimated origin of several B<sub>PANDEMIC</sub>  
260 lineages in Latin America [7, 28]. Although the B<sub>PANDEMIC</sub> and the B<sub>CAR</sub> clades probably  
261 arrived at the same time in Latin America, the B<sub>PANDEMIC</sub> strain was able to ignite much  
262 larger outbreaks and infected a much larger number of individuals than any B<sub>CAR</sub> strain in  
263 most of the countries analyzed.

264 The different epidemic outcomes of the B<sub>PANDEMIC</sub> and B<sub>CAR</sub> lineages in Latin America  
265 could be related to virological and/or sociological factors. Notably, the highest HIV  
266 prevalence rates (>1%) in Latin America and the Caribbean were detected among countries  
267 with a high proportion ( $\geq 50\%$ ) of B<sub>CAR</sub> clades like Haiti, Bahamas, Guyana, Jamaica, and  
268 Trinidad and Tobago [5], thus arguing against the hypothesis of a low epidemic potential of  
269 B<sub>CAR</sub> lineages. Transmission route is clearly an important factor shaping the HIV  
270 dissemination dynamics and major differences in the epidemic outcome of distinct subtype  
271 B clades may have appeared as a consequence of differences in the underlying transmission  
272 networks. We suggest that in most Latin American countries the B<sub>PANDEMIC</sub> strain was  
273 introduced and initially disseminated within highly connected networks of MSM and  
274 injecting drug users, whereas the B<sub>CAR</sub> clades were mainly disseminated through  
275 heterosexual networks with lower rates of partner exchanges, which may explain the more  
276 successful dissemination of the B<sub>PANDEMIC</sub> lineage.

277 The remarkably successful dissemination of B<sub>CAR</sub> clades in some northern countries of  
278 South America including French Guyana, Suriname and Guyana, probably reflects the high  
279 mobility of people between these countries and the Caribbean islands [29]. This is  
280 facilitated not only by the geographical proximity of those South American countries to the  
281 Caribbean islands, but also by cultural, linguistic and socioeconomic ties. Suriname and  
282 Guyana are members of the Caribbean Common Market (CARICOM), an organization of  
283 15 Caribbean nations and dependencies that also includes Bahamas, Belize, Haiti, Jamaica,  
284 Trinidad and Tobago and several other Lesser Antilles islands. The CARICOM not only  
285 promotes economic integration, but also facilitates the free movement of individuals for  
286 tourism or labor among countries. It notes that a significant proportion (10%) of immigrants  
287 residing in Trinidad and Tobago are from Guyana [29], which may explain the

288 epidemiological link observed between non-pandemic B<sub>CAR-TT</sub> and B<sub>CAR-GY</sub> clades  
289 circulating in Trinidad and Tobago and Guyana, respectively.

290 The higher frequency of B<sub>CAR</sub> clades in Colombia, Panama and Venezuela (4-9% of  
291 subtype B infections) when compared to other Latin American countries (<2% of subtype B  
292 infections) also probably reflects a more frequent population mobility as a consequence of  
293 greater geographical proximity and historical links. It is interesting to note that the first  
294 reported Panamanian AIDS case was a Haitian woman diagnosed in September 1984 [30],  
295 which supports a longstanding presence of viruses of Caribbean origin in Panama. This  
296 country is also an important commercial hub due to the presence of the Panama Canal that  
297 promotes transit of people and goods. Junqueira *et al* (2011) previously noted that a boom  
298 in oil production in Venezuela attracted immigrants from several countries in the region  
299 between 1970 and 1980, including people from Trinidad and Tobago and the Dominican  
300 Republic, which may have promoted the introduction of B<sub>CAR</sub> strains into Venezuela during  
301 that time. Furthermore, Colombia and Venezuela has been pointed out as the most  
302 important source countries in South America for tourists and labor migrants (including  
303 female sex workers) to many Caribbean islands (particularly in the Netherlands Antilles)  
304 [29].

305 In summary, this study demonstrates that several non-pandemic HIV-1 B<sub>CAR</sub> strains have  
306 been disseminated from the Caribbean into Latin America since the early 1970s. The B<sub>CAR</sub>  
307 strains reached nearly all countries from Latin America here analyzed and in some of them  
308 were spread locally, establishing secondary outbreaks. Despite the early and widespread  
309 dissemination of B<sub>CAR</sub> strains in the continent, HIV-1 subtype B epidemics in most Latin  
310 American countries were mainly driven by the B<sub>PANDEMIC</sub> clade that accounts for most (>  
311 90%) of current HIV-1 subtype B infections in the region. The only exceptions were

312 Suriname, French Guyana and probably Guyana, where both B<sub>PANDEMIC</sub> and B<sub>CAR</sub> clades  
313 seem to circulate at roughly similar prevalence as observed in many Caribbean islands.  
314 Intra-regional population mobility combined with chance founder events in populations  
315 with high rates of partner exchange were probably the major forces driving the actual  
316 distribution of the different subtype B strains in the Americas.

317

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322 the paper.

323

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404



405 **Table 1.** Bayesian time-scale estimates of MRCA of HIV-1 subtypes B and D and major  
 406 B<sub>CAR</sub> clades from Latin America and the Caribbean.

<b>Clade</b>	<b>T<sub>MRCA</sub> Current study</b>	<b>T<sub>MRCA</sub> Cabello <i>et al</i> (2014)</b>	<b>T<sub>MRCA</sub> Gilbert <i>et al</i> (2007)</b>
Subtypes B/D	1956 (1946-1963)	1952 (1943-1960)	1954 (1946-1961)
Subtype D	1968 (1961-1973)	1965 (1958-1971)	1966 (1961-1971)
Subtype B	1968 (1963-1972)	1964 (1959-1969)	1966 (1962-1970)
B <sub>CAR</sub> -TT	1973 (1969-1976)	1969 (1966-1973)	1973 (1970-1976)
B <sub>CAR</sub> -JM-I	1973 (1969-1976)	1971 (1967-1975)	-
B <sub>CAR</sub> -JM-II	1982 (1977-1987)	-	-
B <sub>CAR</sub> -BR-I	1973 (1971-1977)	-	-
B <sub>CAR</sub> -BR-II	1979 (1975-1983)	-	-
B <sub>CAR</sub> -BR-III	1983 (1977-1987)	-	-
B <sub>CAR</sub> -MX-I	1980 (1974-1986)	-	-
B <sub>CAR</sub> -MX-II	1981 (1976-1987)	-	-
B <sub>CAR</sub> -PA-I	1977 (1973-1981)	-	-
B <sub>CAR</sub> -PA-II	1980 (1976-1984)	-	-
B <sub>CAR</sub> -PA-III	1989 (1983-1995)	-	-
B <sub>CAR</sub> -AR	1979 (1975-1982)	-	-
B <sub>CAR</sub> -VE	1978 (1974-1983)	-	-
B <sub>CAR</sub> -GY	1980 (1977-1984)	-	-

407

408

409 **Table S1.** HIV-1 subtype B *pol* (PR/RT and RT) sequences from Latin America, the  
 410 Caribbean, US and France used for ML phylogenetic analyses.

Region	Country	<i>N</i> (PR/RT)	<i>N</i> (RT)	Sampling time
Latin America	Argentina	1,548	-	1998-2009
	Bolivia	9	45	1999-2009
	Brazil	2,658	-	1990-2010
	Chile	118	-	2002-2007
	Colombia	58	-	2000-2010
	Ecuador	44	-	1989-2011
	El Salvador	170	-	2008-2010
	French Guyana	-	108	2000-2002
	Honduras	507	-	2001-2009
	Peru	249	-	2003-2010
	Mexico	1,677	-	2004-2010
	Suriname	5	21	2000
	Venezuela	407	-	2004-2011
	Others <sup>a</sup>	30	-	1999-2009
Caribbean	Dominican Republic	61	-	2005-2010
	Haiti	8	-	2004-2005
	Jamaica	62	-	2005-2010
	Trinidad and Tobago	48	-	2000-2003
	Others <sup>b</sup>	21	-	2000-2004
North America	US	165	-	1997-2009
Europe	France	135	-	1985-2008

411 <sup>a</sup> Belize (*n* = 9), Costa Rica (*n* = 2), Guyana (*n* = 6), Paraguay (*n* = 5), and Uruguay (*n* = 8).

412 <sup>b</sup> Antigua and Barbuda (*n* = 4), Bahamas (*n* = 5), Dominica (*n* = 1), Grenada (*n* = 2),  
 413 Montserrat (*n* = 1), Saint Lucia (*n* = 4) and Saint Vincent and the Grenadines (*n* = 4).

414

415 **Table S2.** Classification of HIV-1 subtype B subtype *pol* (PR/RT and RT) sequences from  
 416 different Latin American countries.

<b>Region</b>	<b>Country</b>	<b><i>N</i></b>	<b>B<sub>PANDEMIC</sub></b>	<b>B<sub>CAR</sub></b>
South America	Argentina	1,548	1,534 (99.1%)	14 (0.9%)
	Bolivia	54	54 (100%)	0 (0%)
	Brazil	2,658	2,614 (98.3%)	44 (1.7%)
	Chile	118	118 (100%)	0 (0%)
	Colombia	58	53 (91.4%)	5 (8.6%)
	Ecuador	44	43 (97.7%)	1 (2.3%)
	French Guyana	108	48 (44.5%)	60 (55.5%)
	Guyana	6	0 (0%)	6 (100%)
	Paraguay	5	5 (100%)	0 (0%)
	Peru	249	247 (99.2%)	2 (0.8%)
	Suriname	26	12 (46.1%)	14 (53.8%)
Central America	Uruguay	8	8 (100%)	0 (0%)
	Venezuela	407	391 (96.1%)	16 (3.9%)
	Belize	9	9 (100%)	0 (0%)
	Costa Rica	2	2 (100%)	0 (0%)
	El Salvador	170	169 (99.4%)	1 (0.6%)
North America	Honduras	507	506 (99.8%)	1 (0.2%)
	Panama*	761	719 (99.5%)	42 (0.5%)
North America	Mexico	1,677	1,659 (98.9%)	18 (1.1%)

417 \* Estimated from a previous study [7].

418

419 **Table S3.** HIV-1 B<sub>CAR</sub> *pol* (PR/RT) sequences from Latin America and the Caribbean used  
 420 for Bayesian phylogeographic analysis.

<b>Region</b>	<b>Country</b>	<b>Location</b>	<b><i>N</i></b>	<b>Sampling date</b>
South America	Argentina	AR	12	2001-2007
	Brazil	BR	39	1997-2005
	Colombia	CO	3	2001-2002
	Ecuador	EC/PE	1	2005
	Peru	EC/PE	2	2009-2010
	Guyana	GY	6	2000
	Suriname	SR	5	2000
	Venezuela	VE	15	2004-2009
Central America	El Salvador	HN/SV	1	2002
	Honduras	HN/SV	1	2008
	Panama <sup>a</sup>	PA	37	2004-2013
North America	Mexico	MX	18	2006-2010
Caribbean	Dominican Republic <sup>a</sup>	DO/HT	123	2003-2011
	Haiti <sup>a</sup>	DO/HT	12	2004-2005
	Jamaica <sup>a</sup>	JM	73	2005-2010
	Trinidad and Tobago <sup>a</sup>	TT	50	2000-2003
Central Africa	DRC	CD	10	1983-2007

421 <sup>a</sup> Identified in previous studies [5, 7].

422

423

424 **Table S4.** Bayes factor (BF) rates of epidemiological links between locations for dispersal  
 425 of non-pandemic B<sub>CAR</sub> lineages in the Latin America.

<b>Locations</b>	<b>BF*</b>
HIS-VE	45,724
HIS-PA	45,724
HIS-MX	45,724
HIS-JM	45,724
HIS-BR	45,724
HIS-PE/EC	2,685
TT-GY	891
BR-AR	410
GY-SR	234
HIS-SR	190
HIS-CO	127
HIS-HN/SV	95
HIS-TT	66
TT-JM	35
TT-BR	12
DRC-HIS	8
Others	<3

426 AR: Argentina; BR: Brazil; CO: Colombia; DRC: Democratic Republic of Congo; GY:  
 427 Guayana; HIS: Hispaniola; HN/SV: Honduras/El Salvador; JM: Jamaica; MX: Mexico;  
 428 PA: Panamá; PE/EC: Peru/Ecuador; SR: Suriname; TT: Trinidad and Tobago; VE:  
 429 Venezuela. \*BF > 100 indicates decisive support,  $30 \leq \text{BF} \leq 100$  indicates very strong  
 430 support,  $10 \leq \text{BF} \leq 30$  indicates strong support, and  $6 \leq \text{BF} \leq 10$  indicates substantial  
 431 support for migration between locations.

432

433

434 **FIGURE LEGENDS**

435 **Figure 1.** ML phylogenetic tree of A) HIV-1 subtype B *pol* PR/RT sequences (~1,000 nt)  
436 circulating in Central America ( $n = 688$ ) and representative sequences of the B<sub>PANDEMIC</sub> (US  
437 = 165, France = 135) and the B<sub>CAR</sub> (Caribbean = 200) clades; B) HIV-1 subtype B *pol* RT  
438 (~600 nt) sequences from Bolivia ( $n = 45$ ), French Guyana ( $n = 108$ ), Suriname ( $n = 21$ )  
439 and the representative sequences of the B<sub>PANDEMIC</sub> and the B<sub>CAR</sub> clades. Branches are  
440 colored according to the geographic origin/clade classification of each sequence as  
441 indicated at the legend (bottom right). The B<sub>PANDEMIC</sub> clade was collapsed for visual clarity.  
442 The aLRT support values are indicated at key nodes. Trees were rooted using HIV-1  
443 subtype D reference sequences. The branch lengths are drawn to scale with the bar at the  
444 bottom indicating nucleotide substitutions per site.

445

446 **Figure 2.** Estimated proportion of B<sub>CAR</sub> and B<sub>PANDEMIC</sub> clades among HIV-1 subtype B  
447 infected individuals from different Latin American countries according to the ML analyses.  
448 The total number of sequences analyzed in each locality is indicated. Proportions in  
449 Panama were estimated in a previous study [7]. Proportions in Latin American countries  
450 poorly sampled ( $n < 10$ ) were not estimated.

451

452 **Figure 3.** Time-scaled Bayesian MCMC tree of *pol* PR/RT sequences of HIV-1 B<sub>CAR</sub>  
453 lineages from Latin America and the Caribbean, and subtype D reference sequences from  
454 the Democratic Republic of Congo (DRC). Branches are colored according to the most  
455 probable location state of their descendent nodes as indicated in the legend (bottom right).  
456 Colored circles indicate the positions of nodes corresponding to the most recent common  
457 ancestors of major country-specific clades (clade size  $\geq 4$ ). Branch lengths are depicted in

458 units of time (years). The tree was automatically rooted under the assumption of a relaxed  
459 molecular clock.

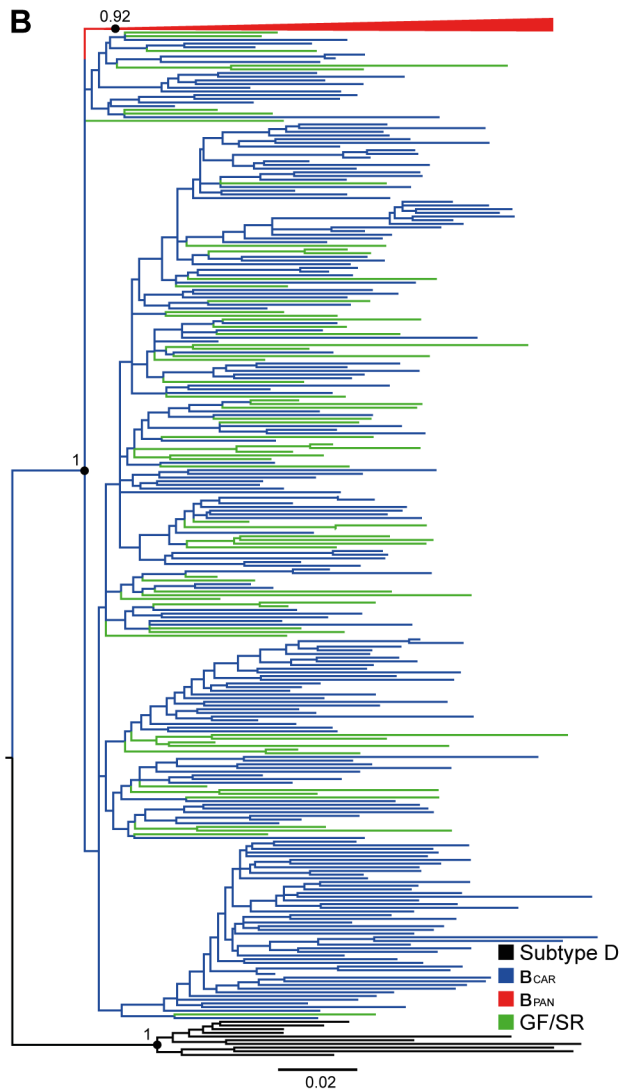
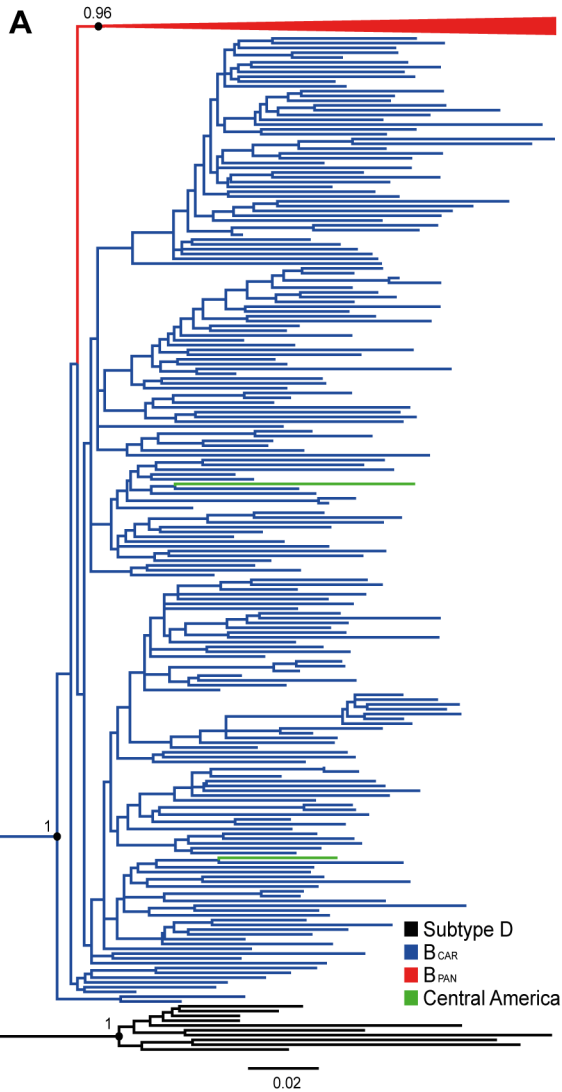
460

461 **Figure 4.** Spatiotemporal dynamics of dissemination of non-pandemic HIV-1 B<sub>CAR</sub> clades  
462 in Latin America. A and B) Viral migration events occurred between 1970 and 2013 are  
463 indicated. Lines between locations represent branches in the Bayesian MCC tree along  
464 which location transitions occurred. The line's color informs the estimated years of the viral  
465 migrations and only the earliest transitions between each location pair were represented. C  
466 and D) Most significant epidemiological links of the dissemination process of B<sub>CAR</sub> clades.  
467 Only epidemiological links supported by Bayes factor rates > 3 are displayed. Viral  
468 migrations and most significant epidemiological links connecting the Hispaniola (A and C)  
469 and Trinidad and Tobago (B and D) with Latin American countries were separated in  
470 independent panels only for visual clarity.

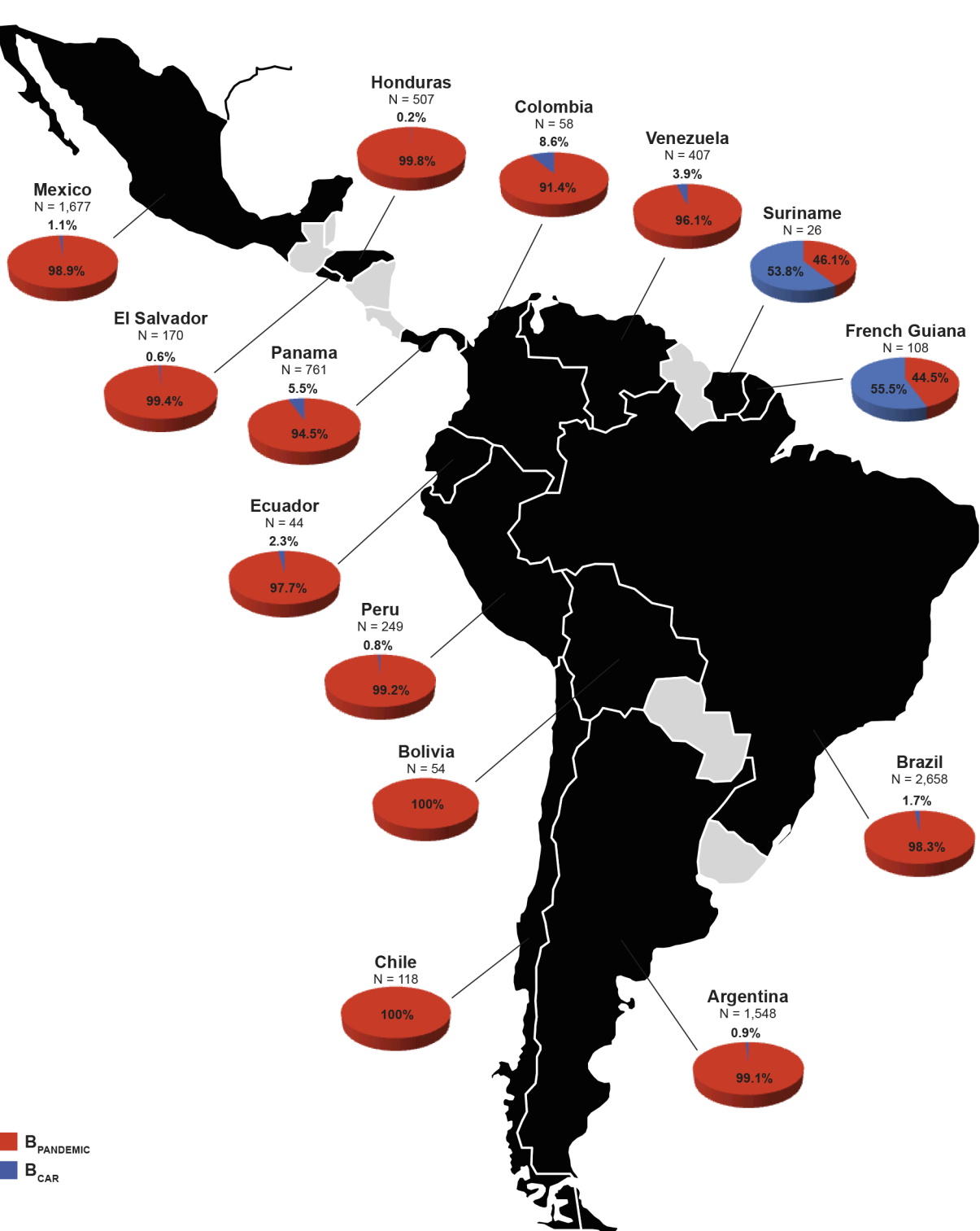
471

472 **Figure S1.** ML phylogenetic tree of HIV-1 subtype B *pol* PR/RT sequences (~1,000 nt)  
473 circulating in: A) Argentina ( $n = 1,548$ ), B) Brazil ( $n = 2,658$ ), C) other South American  
474 countries ( $n = 909$ ), and D) Mexico ( $n = 1,677$ ) combined with representative sequences of  
475 the B<sub>PANDEMIC</sub> (US = 165, France = 135) and the B<sub>CAR</sub> (Caribbean = 200) clades. Branches  
476 are colored according to the geographic origin/clade classification of each sequence as  
477 indicated at the legend (bottom right). The B<sub>PANDEMIC</sub> clade was collapsed for visual clarity.  
478 The aLRT support values are indicated at key nodes. Trees were rooted using HIV-1  
479 subtype D reference sequences. The branch lengths are drawn to scale with the bar at the  
480 bottom indicating nucleotide substitutions per site.

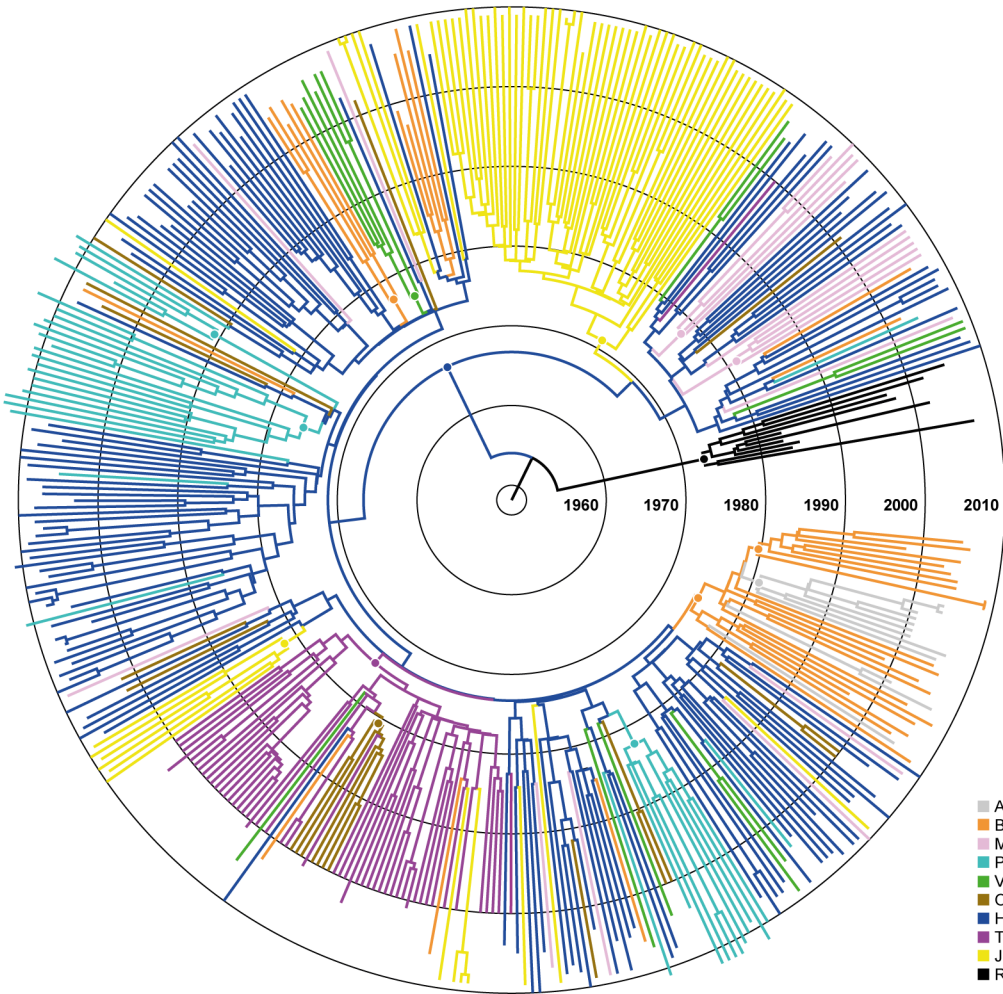
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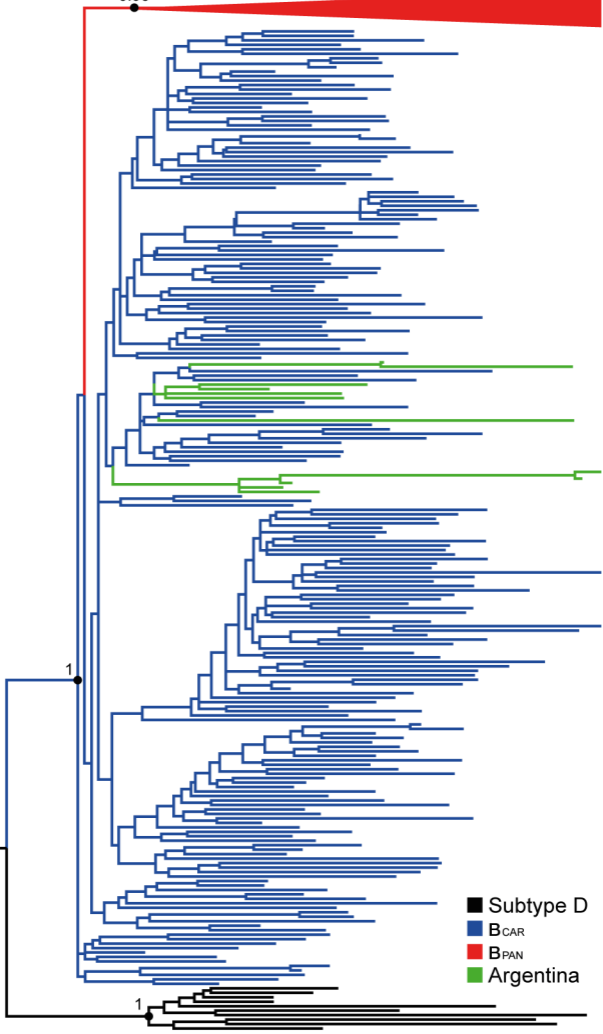


■ B\_PANDEMIC  
■ B\_CAR





0.96



- Subtype D
- B<sub>CAR</sub>
- B<sub>PAN</sub>
- Argentina

0.02

